

## 3.2

## Differentiation Rules

This section introduces a few rules that allow us to differentiate a great variety of functions. By proving these rules here, we can differentiate functions without having to apply the definition of the derivative each time.

## Powers, Multiples, Sums, and Differences

The first rule of differentiation is that the derivative of every constant function is zero.

**RULE 1** Derivative of a Constant Function

If  $f$  has the constant value  $f(x) = c$ , then

$$\frac{df}{dx} = \frac{d}{dx}(c) = 0.$$

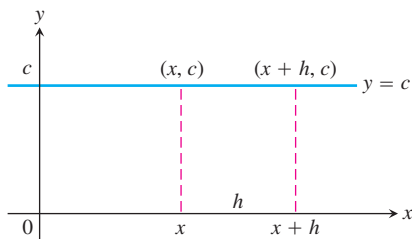
**EXAMPLE 1**

If  $f$  has the constant value  $f(x) = 8$ , then

$$\frac{df}{dx} = \frac{d}{dx}(8) = 0.$$

Similarly,

$$\frac{d}{dx}\left(-\frac{\pi}{2}\right) = 0 \quad \text{and} \quad \frac{d}{dx}\left(\sqrt{3}\right) = 0. \quad \blacksquare$$



**FIGURE 3.8** The rule  $(d/dx)(c) = 0$  is another way to say that the values of constant functions never change and that the slope of a horizontal line is zero at every point.

**Proof of Rule 1** We apply the definition of derivative to  $f(x) = c$ , the function whose outputs have the constant value  $c$  (Figure 3.8). At every value of  $x$ , we find that

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} = \lim_{h \rightarrow 0} \frac{c - c}{h} = \lim_{h \rightarrow 0} 0 = 0. \quad \blacksquare$$

The second rule tells how to differentiate  $x^n$  if  $n$  is a positive integer.

**RULE 2 Power Rule for Positive Integers**

If  $n$  is a positive integer, then

$$\frac{d}{dx} x^n = nx^{n-1}.$$

To apply the Power Rule, we subtract 1 from the original exponent ( $n$ ) and multiply the result by  $n$ .

**EXAMPLE 2 Interpreting Rule 2**

|      |     |       |        |        |         |
|------|-----|-------|--------|--------|---------|
| $f$  | $x$ | $x^2$ | $x^3$  | $x^4$  | $\dots$ |
| $f'$ | 1   | $2x$  | $3x^2$ | $4x^3$ | $\dots$ |

**HISTORICAL BIOGRAPHY**

Richard Courant  
(1888–1972)

**First Proof of Rule 2** The formula

$$z^n - x^n = (z - x)(z^{n-1} + z^{n-2}x + \dots + zx^{n-2} + x^{n-1})$$

can be verified by multiplying out the right-hand side. Then from the alternative form for the definition of the derivative,

$$\begin{aligned} f'(x) &= \lim_{z \rightarrow x} \frac{f(z) - f(x)}{z - x} = \lim_{z \rightarrow x} \frac{z^n - x^n}{z - x} \\ &= \lim_{z \rightarrow x} (z^{n-1} + z^{n-2}x + \dots + zx^{n-2} + x^{n-1}) \\ &= nx^{n-1} \end{aligned}$$

**Second Proof of Rule 2** If  $f(x) = x^n$ , then  $f(x + h) = (x + h)^n$ . Since  $n$  is a positive integer, we can expand  $(x + h)^n$  by the Binomial Theorem to get

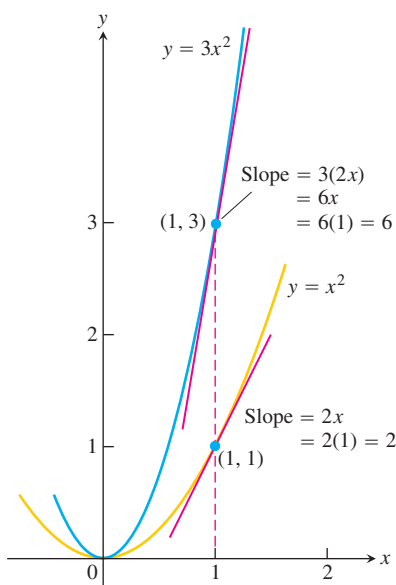
$$\begin{aligned} f'(x) &= \lim_{h \rightarrow 0} \frac{f(x + h) - f(x)}{h} = \lim_{h \rightarrow 0} \frac{(x + h)^n - x^n}{h} \\ &= \lim_{h \rightarrow 0} \frac{\left[ x^n + nx^{n-1}h + \frac{n(n-1)}{2}x^{n-2}h^2 + \dots + nxh^{n-1} + h^n \right] - x^n}{h} \\ &= \lim_{h \rightarrow 0} \frac{nx^{n-1}h + \frac{n(n-1)}{2}x^{n-2}h^2 + \dots + nxh^{n-1} + h^n}{h} \\ &= \lim_{h \rightarrow 0} \left[ nx^{n-1} + \frac{n(n-1)}{2}x^{n-2}h + \dots + nxh^{n-2} + h^{n-1} \right] \\ &= nx^{n-1} \end{aligned}$$

The third rule says that when a differentiable function is multiplied by a constant, its derivative is multiplied by the same constant.

**RULE 3** Constant Multiple Rule

If  $u$  is a differentiable function of  $x$ , and  $c$  is a constant, then

$$\frac{d}{dx}(cu) = c \frac{du}{dx}.$$



**FIGURE 3.9** The graphs of  $y = x^2$  and  $y = 3x^2$ . Tripling the  $y$ -coordinates triples the slope (Example 3).

In particular, if  $n$  is a positive integer, then

$$\frac{d}{dx}(cx^n) = cnx^{n-1}.$$

**EXAMPLE 3**

(a) The derivative formula

$$\frac{d}{dx}(3x^2) = 3 \cdot 2x = 6x$$

says that if we rescale the graph of  $y = x^2$  by multiplying each  $y$ -coordinate by 3, then we multiply the slope at each point by 3 (Figure 3.9).

(b) A useful special case

The derivative of the negative of a differentiable function  $u$  is the negative of the function's derivative. Rule 3 with  $c = -1$  gives

$$\frac{d}{dx}(-u) = \frac{d}{dx}(-1 \cdot u) = -1 \cdot \frac{d}{dx}(u) = -\frac{du}{dx}. \quad \blacksquare$$

**Proof of Rule 3**

$$\begin{aligned} \frac{d}{dx}cu &= \lim_{h \rightarrow 0} \frac{cu(x+h) - cu(x)}{h} && \text{Derivative definition} \\ &&& \text{with } f(x) = cu(x) \\ &= c \lim_{h \rightarrow 0} \frac{u(x+h) - u(x)}{h} && \text{Limit property} \\ &= c \frac{du}{dx} && u \text{ is differentiable. } \quad \blacksquare \end{aligned}$$

The next rule says that the derivative of the sum of two differentiable functions is the sum of their derivatives.

**Denoting Functions by  $u$  and  $v$** 

The functions we are working with when we need a differentiation formula are likely to be denoted by letters like  $f$  and  $g$ . When we apply the formula, we do not want to find it using these same letters in some other way. To guard against this problem, we denote the functions in differentiation rules by letters like  $u$  and  $v$  that are not likely to be already in use.

**RULE 4** Derivative Sum Rule

If  $u$  and  $v$  are differentiable functions of  $x$ , then their sum  $u + v$  is differentiable at every point where  $u$  and  $v$  are both differentiable. At such points,

$$\frac{d}{dx}(u + v) = \frac{du}{dx} + \frac{dv}{dx}.$$

**EXAMPLE 4** Derivative of a Sum

$$\begin{aligned}
 y &= x^4 + 12x \\
 \frac{dy}{dx} &= \frac{d}{dx}(x^4) + \frac{d}{dx}(12x) \\
 &= 4x^3 + 12
 \end{aligned}$$

**Proof of Rule 4** We apply the definition of derivative to  $f(x) = u(x) + v(x)$ :

$$\begin{aligned}
 \frac{d}{dx}[u(x) + v(x)] &= \lim_{h \rightarrow 0} \frac{[u(x+h) + v(x+h)] - [u(x) + v(x)]}{h} \\
 &= \lim_{h \rightarrow 0} \left[ \frac{u(x+h) - u(x)}{h} + \frac{v(x+h) - v(x)}{h} \right] \\
 &= \lim_{h \rightarrow 0} \frac{u(x+h) - u(x)}{h} + \lim_{h \rightarrow 0} \frac{v(x+h) - v(x)}{h} = \frac{du}{dx} + \frac{dv}{dx}.
 \end{aligned}$$

Combining the Sum Rule with the Constant Multiple Rule gives the **Difference Rule**, which says that the derivative of a *difference* of differentiable functions is the difference of their derivatives.

$$\frac{d}{dx}(u - v) = \frac{d}{dx}[u + (-1)v] = \frac{du}{dx} + (-1)\frac{dv}{dx} = \frac{du}{dx} - \frac{dv}{dx}$$

The Sum Rule also extends to sums of more than two functions, as long as there are only finitely many functions in the sum. If  $u_1, u_2, \dots, u_n$  are differentiable at  $x$ , then so is  $u_1 + u_2 + \dots + u_n$ , and

$$\frac{d}{dx}(u_1 + u_2 + \dots + u_n) = \frac{du_1}{dx} + \frac{du_2}{dx} + \dots + \frac{du_n}{dx}.$$

**EXAMPLE 5** Derivative of a Polynomial

$$\begin{aligned}
 y &= x^3 + \frac{4}{3}x^2 - 5x + 1 \\
 \frac{dy}{dx} &= \frac{d}{dx}x^3 + \frac{d}{dx}\left(\frac{4}{3}x^2\right) - \frac{d}{dx}(5x) + \frac{d}{dx}(1) \\
 &= 3x^2 + \frac{4}{3} \cdot 2x - 5 + 0 \\
 &= 3x^2 + \frac{8}{3}x - 5
 \end{aligned}$$

Notice that we can differentiate any polynomial term by term, the way we differentiated the polynomial in Example 5. All polynomials are differentiable everywhere.

**Proof of the Sum Rule for Sums of More Than Two Functions** We prove the statement

$$\frac{d}{dx}(u_1 + u_2 + \dots + u_n) = \frac{du_1}{dx} + \frac{du_2}{dx} + \dots + \frac{du_n}{dx}$$

by mathematical induction (see Appendix 1). The statement is true for  $n = 2$ , as was just proved. This is Step 1 of the induction proof.

Step 2 is to show that if the statement is true for any positive integer  $n = k$ , where  $k \geq n_0 = 2$ , then it is also true for  $n = k + 1$ . So suppose that

$$\frac{d}{dx}(u_1 + u_2 + \cdots + u_k) = \frac{du_1}{dx} + \frac{du_2}{dx} + \cdots + \frac{du_k}{dx}. \quad (1)$$

Then

$$\begin{aligned} & \frac{d}{dx} \underbrace{(u_1 + u_2 + \cdots + u_k)}_{\substack{\text{Call the function} \\ \text{defined by this sum } u.}} + \underbrace{u_{k+1}}_{\substack{\text{Call this} \\ \text{function } v.}} \\ &= \frac{d}{dx}(u_1 + u_2 + \cdots + u_k) + \frac{du_{k+1}}{dx} \quad \text{Rule 4 for } \frac{d}{dx}(u + v) \\ &= \frac{du_1}{dx} + \frac{du_2}{dx} + \cdots + \frac{du_k}{dx} + \frac{du_{k+1}}{dx}. \quad \text{Eq. (1)} \end{aligned}$$

With these steps verified, the mathematical induction principle now guarantees the Sum Rule for every integer  $n \geq 2$ . ■

### EXAMPLE 6 Finding Horizontal Tangents

Does the curve  $y = x^4 - 2x^2 + 2$  have any horizontal tangents? If so, where?

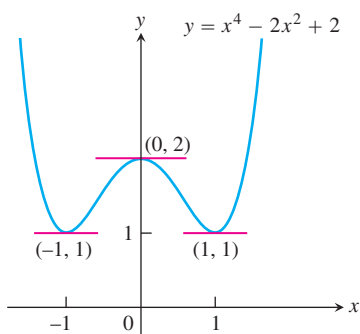
**Solution** The horizontal tangents, if any, occur where the slope  $dy/dx$  is zero. We have,

$$\frac{dy}{dx} = \frac{d}{dx}(x^4 - 2x^2 + 2) = 4x^3 - 4x.$$

Now solve the equation  $\frac{dy}{dx} = 0$  for  $x$ :

$$\begin{aligned} 4x^3 - 4x &= 0 \\ 4x(x^2 - 1) &= 0 \\ x &= 0, 1, -1. \end{aligned}$$

The curve  $y = x^4 - 2x^2 + 2$  has horizontal tangents at  $x = 0, 1$ , and  $-1$ . The corresponding points on the curve are  $(0, 2)$ ,  $(1, 1)$  and  $(-1, 1)$ . See Figure 3.10. ■



**FIGURE 3.10** The curve  $y = x^4 - 2x^2 + 2$  and its horizontal tangents (Example 6).

### Products and Quotients

While the derivative of the sum of two functions is the sum of their derivatives, the derivative of the product of two functions is *not* the product of their derivatives. For instance,

$$\frac{d}{dx}(x \cdot x) = \frac{d}{dx}(x^2) = 2x, \quad \text{while} \quad \frac{d}{dx}(x) \cdot \frac{d}{dx}(x) = 1 \cdot 1 = 1.$$

The derivative of a product of two functions is the sum of *two* products, as we now explain.

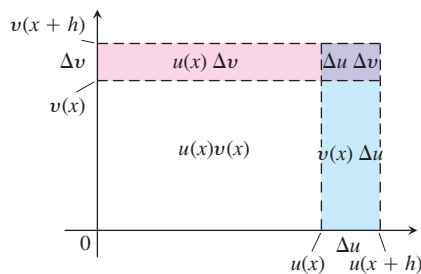
#### RULE 5 Derivative Product Rule

If  $u$  and  $v$  are differentiable at  $x$ , then so is their product  $uv$ , and

$$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}.$$

**Picturing the Product Rule**

If  $u(x)$  and  $v(x)$  are positive and increase when  $x$  increases, and if  $h > 0$ ,



then the total shaded area in the picture is

$$\begin{aligned} & u(x+h)v(x+h) - u(x)v(x) \\ &= u(x+h)\Delta v + v(x+h)\Delta u - \Delta u\Delta v. \end{aligned}$$

Dividing both sides of this equation by  $h$  gives

$$\begin{aligned} & \frac{u(x+h)v(x+h) - u(x)v(x)}{h} \\ &= u(x+h)\frac{\Delta v}{h} + v(x+h)\frac{\Delta u}{h} - \Delta u\frac{\Delta v}{h}. \end{aligned}$$

As  $h \rightarrow 0^+$ ,

$$\Delta u \cdot \frac{\Delta v}{h} \rightarrow 0 \cdot \frac{dv}{dx} = 0,$$

leaving

$$\frac{d}{dx}(uv) = u\frac{dv}{dx} + v\frac{du}{dx}.$$

The derivative of the product  $uv$  is  $u$  times the derivative of  $v$  plus  $v$  times the derivative of  $u$ . In prime notation,  $(uv)' = uv' + vu'$ . In function notation,

$$\frac{d}{dx}[f(x)g(x)] = f(x)g'(x) + g(x)f'(x).$$

**EXAMPLE 7** Using the Product Rule

Find the derivative of

$$y = \frac{1}{x} \left( x^2 + \frac{1}{x} \right).$$

**Solution** We apply the Product Rule with  $u = 1/x$  and  $v = x^2 + (1/x)$ :

$$\begin{aligned} \frac{d}{dx} \left[ \frac{1}{x} \left( x^2 + \frac{1}{x} \right) \right] &= \frac{1}{x} \left( 2x - \frac{1}{x^2} \right) + \left( x^2 + \frac{1}{x} \right) \left( -\frac{1}{x^2} \right) && \frac{d}{dx}(uv) = u\frac{dv}{dx} + v\frac{du}{dx}, \text{ and} \\ &= 2 - \frac{1}{x^3} - 1 - \frac{1}{x^3} && \frac{d}{dx} \left( \frac{1}{x} \right) = -\frac{1}{x^2} \text{ by} \\ &= 1 - \frac{2}{x^3}. && \text{Example 3, Section 2.7.} \end{aligned}$$

**Proof of Rule 5**

$$\frac{d}{dx}(uv) = \lim_{h \rightarrow 0} \frac{u(x+h)v(x+h) - u(x)v(x)}{h}$$

To change this fraction into an equivalent one that contains difference quotients for the derivatives of  $u$  and  $v$ , we subtract and add  $u(x+h)v(x)$  in the numerator:

$$\begin{aligned} \frac{d}{dx}(uv) &= \lim_{h \rightarrow 0} \frac{u(x+h)v(x+h) - u(x+h)v(x) + u(x+h)v(x) - u(x)v(x)}{h} \\ &= \lim_{h \rightarrow 0} \left[ u(x+h) \frac{v(x+h) - v(x)}{h} + v(x) \frac{u(x+h) - u(x)}{h} \right] \\ &= \lim_{h \rightarrow 0} u(x+h) \cdot \lim_{h \rightarrow 0} \frac{v(x+h) - v(x)}{h} + v(x) \cdot \lim_{h \rightarrow 0} \frac{u(x+h) - u(x)}{h}. \end{aligned}$$

As  $h$  approaches zero,  $u(x+h)$  approaches  $u(x)$  because  $u$ , being differentiable at  $x$ , is continuous at  $x$ . The two fractions approach the values of  $dv/dx$  at  $x$  and  $du/dx$  at  $x$ . In short,

$$\frac{d}{dx}(uv) = u\frac{dv}{dx} + v\frac{du}{dx}. \quad \blacksquare$$

In the following example, we have only numerical values with which to work.

**EXAMPLE 8** Derivative from Numerical Values

Let  $y = uv$  be the product of the functions  $u$  and  $v$ . Find  $y'(2)$  if

$$u(2) = 3, \quad u'(2) = -4, \quad v(2) = 1, \quad \text{and} \quad v'(2) = 2.$$

**Solution** From the Product Rule, in the form

$$y' = (uv)' = uv' + vu',$$

we have

$$\begin{aligned}y'(2) &= u(2)v'(2) + v(2)u'(2) \\ &= (3)(2) + (1)(-4) = 6 - 4 = 2.\end{aligned}$$

### EXAMPLE 9 Differentiating a Product in Two Ways

Find the derivative of  $y = (x^2 + 1)(x^3 + 3)$ .

#### Solution

(a) From the Product Rule with  $u = x^2 + 1$  and  $v = x^3 + 3$ , we find

$$\begin{aligned}\frac{d}{dx}[(x^2 + 1)(x^3 + 3)] &= (x^2 + 1)(3x^2) + (x^3 + 3)(2x) \\ &= 3x^4 + 3x^2 + 2x^4 + 6x \\ &= 5x^4 + 3x^2 + 6x.\end{aligned}$$

(b) This particular product can be differentiated as well (perhaps better) by multiplying out the original expression for  $y$  and differentiating the resulting polynomial:

$$\begin{aligned}y &= (x^2 + 1)(x^3 + 3) = x^5 + x^3 + 3x^2 + 3 \\ \frac{dy}{dx} &= 5x^4 + 3x^2 + 6x.\end{aligned}$$

This is in agreement with our first calculation. ■

Just as the derivative of the product of two differentiable functions is not the product of their derivatives, the derivative of the quotient of two functions is not the quotient of their derivatives. What happens instead is the Quotient Rule.

#### RULE 6 Derivative Quotient Rule

If  $u$  and  $v$  are differentiable at  $x$  and if  $v(x) \neq 0$ , then the quotient  $u/v$  is differentiable at  $x$ , and

$$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{v \frac{du}{dx} - u \frac{dv}{dx}}{v^2}.$$

In function notation,

$$\frac{d}{dx}\left[\frac{f(x)}{g(x)}\right] = \frac{g(x)f'(x) - f(x)g'(x)}{g^2(x)}.$$

### EXAMPLE 10 Using the Quotient Rule

Find the derivative of

$$y = \frac{t^2 - 1}{t^2 + 1}.$$

**Solution**

We apply the Quotient Rule with  $u = t^2 - 1$  and  $v = t^2 + 1$ :

$$\begin{aligned}\frac{dy}{dt} &= \frac{(t^2 + 1) \cdot 2t - (t^2 - 1) \cdot 2t}{(t^2 + 1)^2} & \frac{d}{dt} \left( \frac{u}{v} \right) &= \frac{v(du/dt) - u(dv/dt)}{v^2} \\ &= \frac{2t^3 + 2t - 2t^3 + 2t}{(t^2 + 1)^2} \\ &= \frac{4t}{(t^2 + 1)^2}.\end{aligned}$$

**Proof of Rule 6**

$$\begin{aligned}\frac{d}{dx} \left( \frac{u}{v} \right) &= \lim_{h \rightarrow 0} \frac{\frac{u(x+h)}{v(x+h)} - \frac{u(x)}{v(x)}}{h} \\ &= \lim_{h \rightarrow 0} \frac{v(x)u(x+h) - u(x)v(x+h)}{hv(x+h)v(x)}\end{aligned}$$

To change the last fraction into an equivalent one that contains the difference quotients for the derivatives of  $u$  and  $v$ , we subtract and add  $v(x)u(x)$  in the numerator. We then get

$$\begin{aligned}\frac{d}{dx} \left( \frac{u}{v} \right) &= \lim_{h \rightarrow 0} \frac{v(x)u(x+h) - v(x)u(x) + v(x)u(x) - u(x)v(x+h)}{hv(x+h)v(x)} \\ &= \lim_{h \rightarrow 0} \frac{v(x) \frac{u(x+h) - u(x)}{h} - u(x) \frac{v(x+h) - v(x)}{h}}{v(x+h)v(x)}.\end{aligned}$$

Taking the limit in the numerator and denominator now gives the Quotient Rule. ■

**Negative Integer Powers of  $x$** 

The Power Rule for negative integers is the same as the rule for positive integers.

**RULE 7 Power Rule for Negative Integers**

If  $n$  is a negative integer and  $x \neq 0$ , then

$$\frac{d}{dx}(x^n) = nx^{n-1}.$$

**EXAMPLE 11**

$$(a) \quad \frac{d}{dx} \left( \frac{1}{x} \right) = \frac{d}{dx}(x^{-1}) = (-1)x^{-2} = -\frac{1}{x^2}$$

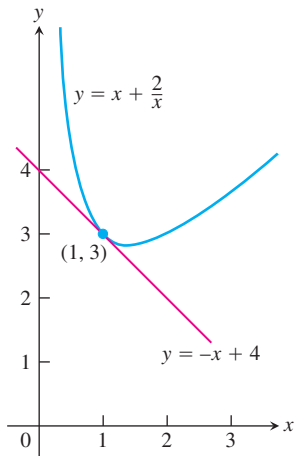
Agrees with Example 3, Section 2.7

$$(b) \quad \frac{d}{dx} \left( \frac{4}{x^3} \right) = 4 \frac{d}{dx}(x^{-3}) = 4(-3)x^{-4} = -\frac{12}{x^4}$$



**Proof of Rule 7** The proof uses the Quotient Rule. If  $n$  is a negative integer, then  $n = -m$ , where  $m$  is a positive integer. Hence,  $x^n = x^{-m} = 1/x^m$ , and

$$\begin{aligned} \frac{d}{dx}(x^n) &= \frac{d}{dx}\left(\frac{1}{x^m}\right) \\ &= \frac{x^m \cdot \frac{d}{dx}(1) - 1 \cdot \frac{d}{dx}(x^m)}{(x^m)^2} && \text{Quotient Rule with } u = 1 \text{ and } v = x^m \\ &= \frac{0 - mx^{m-1}}{x^{2m}} && \text{Since } m > 0, \frac{d}{dx}(x^m) = mx^{m-1} \\ &= -mx^{-m-1} \\ &= nx^{n-1}. && \text{Since } -m = n \end{aligned}$$



**FIGURE 3.11** The tangent to the curve  $y = x + (2/x)$  at  $(1, 3)$  in Example 12. The curve has a third-quadrant portion not shown here. We see how to graph functions like this one in Chapter 4.

### EXAMPLE 12 Tangent to a Curve

Find an equation for the tangent to the curve

$$y = x + \frac{2}{x}$$

at the point  $(1, 3)$  (Figure 3.11).

**Solution** The slope of the curve is

$$\frac{dy}{dx} = \frac{d}{dx}(x) + 2 \frac{d}{dx}\left(\frac{1}{x}\right) = 1 + 2\left(-\frac{1}{x^2}\right) = 1 - \frac{2}{x^2}.$$

The slope at  $x = 1$  is

$$\left.\frac{dy}{dx}\right|_{x=1} = \left[1 - \frac{2}{x^2}\right]_{x=1} = 1 - 2 = -1.$$

The line through  $(1, 3)$  with slope  $m = -1$  is

$$\begin{aligned} y - 3 &= (-1)(x - 1) && \text{Point-slope equation} \\ y &= -x + 1 + 3 \\ y &= -x + 4. \end{aligned}$$

The choice of which rules to use in solving a differentiation problem can make a difference in how much work you have to do. Here is an example.

### EXAMPLE 13 Choosing Which Rule to Use

Rather than using the Quotient Rule to find the derivative of

$$y = \frac{(x-1)(x^2-2x)}{x^4},$$

expand the numerator and divide by  $x^4$ :

$$y = \frac{(x-1)(x^2-2x)}{x^4} = \frac{x^3 - 3x^2 + 2x}{x^4} = x^{-1} - 3x^{-2} + 2x^{-3}.$$

Then use the Sum and Power Rules:

$$\begin{aligned}\frac{dy}{dx} &= -x^{-2} - 3(-2)x^{-3} + 2(-3)x^{-4} \\ &= -\frac{1}{x^2} + \frac{6}{x^3} - \frac{6}{x^4}.\end{aligned}$$

## Second- and Higher-Order Derivatives

If  $y = f(x)$  is a differentiable function, then its derivative  $f'(x)$  is also a function. If  $f'$  is also differentiable, then we can differentiate  $f'$  to get a new function of  $x$  denoted by  $f''$ . So  $f'' = (f')'$ . The function  $f''$  is called the **second derivative** of  $f$  because it is the derivative of the first derivative. Notationally,

$$f''(x) = \frac{d^2y}{dx^2} = \frac{d}{dx} \left( \frac{dy}{dx} \right) = \frac{dy'}{dx} = y'' = D^2(f)(x) = D_x^2 f(x).$$

The symbol  $D^2$  means the operation of differentiation is performed twice.

If  $y = x^6$ , then  $y' = 6x^5$  and we have

$$y'' = \frac{dy'}{dx} = \frac{d}{dx} (6x^5) = 30x^4.$$

Thus  $D^2(x^6) = 30x^4$ .

If  $y''$  is differentiable, its derivative,  $y''' = dy''/dx = d^3y/dx^3$  is the **third derivative** of  $y$  with respect to  $x$ . The names continue as you imagine, with

$$y^{(n)} = \frac{d}{dx} y^{(n-1)} = \frac{d^n y}{dx^n} = D^n y$$

denoting the  **$n$ th derivative** of  $y$  with respect to  $x$  for any positive integer  $n$ .

We can interpret the second derivative as the rate of change of the slope of the tangent to the graph of  $y = f(x)$  at each point. You will see in the next chapter that the second derivative reveals whether the graph bends upward or downward from the tangent line as we move off the point of tangency. In the next section, we interpret both the second and third derivatives in terms of motion along a straight line.

### EXAMPLE 14 Finding Higher Derivatives

The first four derivatives of  $y = x^3 - 3x^2 + 2$  are

$$\text{First derivative: } y' = 3x^2 - 6x$$

$$\text{Second derivative: } y'' = 6x - 6$$

$$\text{Third derivative: } y''' = 6$$

$$\text{Fourth derivative: } y^{(4)} = 0.$$

The function has derivatives of all orders, the fifth and later derivatives all being zero.

#### How to Read the Symbols for Derivatives

|                      |                                  |
|----------------------|----------------------------------|
| $y'$                 | “y prime”                        |
| $y''$                | “y double prime”                 |
| $\frac{d^2y}{dx^2}$  | “d squared y dx squared”         |
| $y'''$               | “y triple prime”                 |
| $y^{(n)}$            | “y super n”                      |
| $\frac{d^n y}{dx^n}$ | “d to the n of y by dx to the n” |
| $D^n$                | “D to the n”                     |

## EXERCISES 3.2

## Derivative Calculations

In Exercises 1–12, find the first and second derivatives.

1.  $y = -x^2 + 3$

2.  $y = x^2 + x + 8$

3.  $s = 5t^3 - 3t^5$

4.  $w = 3z^7 - 7z^3 + 21z^2$

5.  $y = \frac{4x^3}{3} - x$

6.  $y = \frac{x^3}{3} + \frac{x^2}{2} + \frac{x}{4}$

7.  $w = 3z^{-2} - \frac{1}{z}$

8.  $s = -2t^{-1} + \frac{4}{t^2}$

9.  $y = 6x^2 - 10x - 5x^{-2}$

10.  $y = 4 - 2x - x^{-3}$

11.  $r = \frac{1}{3s^2} - \frac{5}{2s}$

12.  $r = \frac{12}{\theta} - \frac{4}{\theta^3} + \frac{1}{\theta^4}$

In Exercises 13–16, find  $y'$  (a) by applying the Product Rule and (b) by multiplying the factors to produce a sum of simpler terms to differentiate.

13.  $y = (3 - x^2)(x^3 - x + 1)$

14.  $y = (x - 1)(x^2 + x + 1)$

15.  $y = (x^2 + 1)\left(x + 5 + \frac{1}{x}\right)$

16.  $y = \left(x + \frac{1}{x}\right)\left(x - \frac{1}{x} + 1\right)$

Find the derivatives of the functions in Exercises 17–28.

17.  $y = \frac{2x + 5}{3x - 2}$

18.  $z = \frac{2x + 1}{x^2 - 1}$

19.  $g(x) = \frac{x^2 - 4}{x + 0.5}$

20.  $f(t) = \frac{t^2 - 1}{t^2 + t - 2}$

21.  $v = (1 - t)(1 + t^2)^{-1}$

22.  $w = (2x - 7)^{-1}(x + 5)$

23.  $f(s) = \frac{\sqrt{s} - 1}{\sqrt{s} + 1}$

24.  $u = \frac{5x + 1}{2\sqrt{x}}$

25.  $v = \frac{1 + x - 4\sqrt{x}}{x}$

26.  $r = 2\left(\frac{1}{\sqrt{\theta}} + \sqrt{\theta}\right)$

27.  $y = \frac{1}{(x^2 - 1)(x^2 + x + 1)}$

28.  $y = \frac{(x + 1)(x + 2)}{(x - 1)(x - 2)}$

Find the derivatives of all orders of the functions in Exercises 29 and 30.

29.  $y = \frac{x^4}{2} - \frac{3}{2}x^2 - x$

30.  $y = \frac{x^5}{120}$

Find the first and second derivatives of the functions in Exercises 31–38.

31.  $y = \frac{x^3 + 7}{x}$

32.  $s = \frac{t^2 + 5t - 1}{t^2}$

33.  $r = \frac{(\theta - 1)(\theta^2 + \theta + 1)}{\theta^3}$

34.  $u = \frac{(x^2 + x)(x^2 - x + 1)}{x^4}$

35.  $w = \left(\frac{1 + 3z}{3z}\right)(3 - z)$

36.  $w = (z + 1)(z - 1)(z^2 + 1)$

37.  $p = \left(\frac{q^2 + 3}{12q}\right)\left(\frac{q^4 - 1}{q^3}\right)$

38.  $p = \frac{q^2 + 3}{(q - 1)^3 + (q + 1)^3}$

## Using Numerical Values

39. Suppose  $u$  and  $v$  are functions of  $x$  that are differentiable at  $x = 0$  and that

$$u(0) = 5, \quad u'(0) = -3, \quad v(0) = -1, \quad v'(0) = 2.$$

Find the values of the following derivatives at  $x = 0$ .

a.  $\frac{d}{dx}(uv)$     b.  $\frac{d}{dx}\left(\frac{u}{v}\right)$     c.  $\frac{d}{dx}\left(\frac{v}{u}\right)$     d.  $\frac{d}{dx}(7v - 2u)$

40. Suppose  $u$  and  $v$  are differentiable functions of  $x$  and that

$$u(1) = 2, \quad u'(1) = 0, \quad v(1) = 5, \quad v'(1) = -1.$$

Find the values of the following derivatives at  $x = 1$ .

a.  $\frac{d}{dx}(uv)$     b.  $\frac{d}{dx}\left(\frac{u}{v}\right)$     c.  $\frac{d}{dx}\left(\frac{v}{u}\right)$     d.  $\frac{d}{dx}(7v - 2u)$

## Slopes and Tangents

41. a. **Normal to a curve** Find an equation for the line perpendicular to the tangent to the curve  $y = x^3 - 4x + 1$  at the point  $(2, 1)$ .

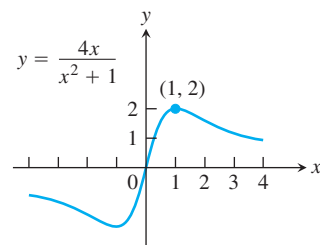
b. **Smallest slope** What is the smallest slope on the curve? At what point on the curve does the curve have this slope?

c. **Tangents having specified slope** Find equations for the tangents to the curve at the points where the slope of the curve is 8.

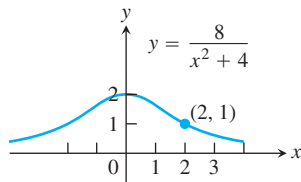
42. a. **Horizontal tangents** Find equations for the horizontal tangents to the curve  $y = x^3 - 3x - 2$ . Also find equations for the lines that are perpendicular to these tangents at the points of tangency.

b. **Smallest slope** What is the smallest slope on the curve? At what point on the curve does the curve have this slope? Find an equation for the line that is perpendicular to the curve's tangent at this point.

43. Find the tangents to *Newton's serpentine* (graphed here) at the origin and the point  $(1, 2)$ .



44. Find the tangent to the *Witch of Agnesi* (graphed here) at the point (2, 1).



45. **Quadratic tangent to identity function** The curve  $y = ax^2 + bx + c$  passes through the point (1, 2) and is tangent to the line  $y = x$  at the origin. Find  $a$ ,  $b$ , and  $c$ .
46. **Quadratics having a common tangent** The curves  $y = x^2 + ax + b$  and  $y = cx - x^2$  have a common tangent line at the point (1, 0). Find  $a$ ,  $b$ , and  $c$ .
47. a. Find an equation for the line that is tangent to the curve  $y = x^3 - x$  at the point  $(-1, 0)$ .
- T** b. Graph the curve and tangent line together. The tangent intersects the curve at another point. Use Zoom and Trace to estimate the point's coordinates.
- T** c. Confirm your estimates of the coordinates of the second intersection point by solving the equations for the curve and tangent simultaneously (Solver key).
48. a. Find an equation for the line that is tangent to the curve  $y = x^3 - 6x^2 + 5x$  at the origin.
- T** b. Graph the curve and tangent together. The tangent intersects the curve at another point. Use Zoom and Trace to estimate the point's coordinates.
- T** c. Confirm your estimates of the coordinates of the second intersection point by solving the equations for the curve and tangent simultaneously (Solver key).

## Theory and Examples

49. The general polynomial of degree  $n$  has the form

$$P(x) = a_n x^n + a_{n-1} x^{n-1} + \cdots + a_2 x^2 + a_1 x + a_0$$

where  $a_n \neq 0$ . Find  $P'(x)$ .

50. **The body's reaction to medicine** The reaction of the body to a dose of medicine can sometimes be represented by an equation of the form

$$R = M^2 \left( \frac{C}{2} - \frac{M}{3} \right),$$

where  $C$  is a positive constant and  $M$  is the amount of medicine absorbed in the blood. If the reaction is a change in blood pressure,  $R$  is measured in millimeters of mercury. If the reaction is a change in temperature,  $R$  is measured in degrees, and so on.

Find  $dR/dM$ . This derivative, as a function of  $M$ , is called the sensitivity of the body to the medicine. In Section 4.5, we will see

how to find the amount of medicine to which the body is most sensitive.

51. Suppose that the function  $v$  in the Product Rule has a constant value  $c$ . What does the Product Rule then say? What does this say about the Constant Multiple Rule?

### 52. The Reciprocal Rule

- a. The *Reciprocal Rule* says that at any point where the function  $v(x)$  is differentiable and different from zero,

$$\frac{d}{dx} \left( \frac{1}{v} \right) = -\frac{1}{v^2} \frac{dv}{dx}.$$

Show that the Reciprocal Rule is a special case of the Quotient Rule.

- b. Show that the Reciprocal Rule and the Product Rule together imply the Quotient Rule.

53. **Generalizing the Product Rule** The Product Rule gives the formula

$$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}$$

for the derivative of the product  $uv$  of two differentiable functions of  $x$ .

- a. What is the analogous formula for the derivative of the product  $uvw$  of *three* differentiable functions of  $x$ ?
- b. What is the formula for the derivative of the product  $u_1 u_2 u_3 u_4$  of *four* differentiable functions of  $x$ ?
- c. What is the formula for the derivative of a product  $u_1 u_2 u_3 \cdots u_n$  of a finite number  $n$  of differentiable functions of  $x$ ?

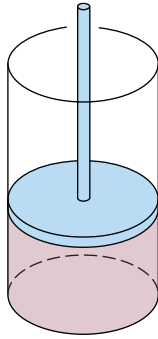
### 54. Rational Powers

- a. Find  $\frac{d}{dx}(x^{3/2})$  by writing  $x^{3/2}$  as  $x \cdot x^{1/2}$  and using the Product Rule. Express your answer as a rational number times a rational power of  $x$ . Work parts (b) and (c) by a similar method.
- b. Find  $\frac{d}{dx}(x^{5/2})$ .
- c. Find  $\frac{d}{dx}(x^{7/2})$ .
- d. What patterns do you see in your answers to parts (a), (b), and (c)? Rational powers are one of the topics in Section 3.6.

55. **Cylinder pressure** If gas in a cylinder is maintained at a constant temperature  $T$ , the pressure  $P$  is related to the volume  $V$  by a formula of the form

$$P = \frac{nRT}{V - nb} - \frac{an^2}{V^2},$$

in which  $a$ ,  $b$ ,  $n$ , and  $R$  are constants. Find  $dP/dV$ . (See accompanying figure.)



- 56. The best quantity to order** One of the formulas for inventory management says that the average weekly cost of ordering, paying for, and holding merchandise is

$$A(q) = \frac{km}{q} + cm + \frac{hq}{2},$$

where  $q$  is the quantity you order when things run low (shoes, radios, brooms, or whatever the item might be);  $k$  is the cost of placing an order (the same, no matter how often you order);  $c$  is the cost of one item (a constant);  $m$  is the number of items sold each week (a constant); and  $h$  is the weekly holding cost per item (a constant that takes into account things such as space, utilities, insurance, and security). Find  $dA/dq$  and  $d^2A/dq^2$ .

## 3.4

## Derivatives of Trigonometric Functions

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Many of the phenomena we want information about are approximately periodic (electromagnetic fields, heart rhythms, tides, weather). The derivatives of sines and cosines play a key role in describing periodic changes. This section shows how to differentiate the six basic trigonometric functions.

### Derivative of the Sine Function

To calculate the derivative of  $f(x) = \sin x$ , for  $x$  measured in radians, we combine the limits in Example 5a and Theorem 7 in Section 2.4 with the angle sum identity for the sine:

$$\sin(x + h) = \sin x \cos h + \cos x \sin h.$$

If  $f(x) = \sin x$ , then

$$\begin{aligned}
 f'(x) &= \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} && \text{Derivative definition} \\
 &= \lim_{h \rightarrow 0} \frac{\sin(x+h) - \sin x}{h} \\
 &= \lim_{h \rightarrow 0} \frac{(\sin x \cos h + \cos x \sin h) - \sin x}{h} && \text{Sine angle sum identity} \\
 &= \lim_{h \rightarrow 0} \frac{\sin x (\cos h - 1) + \cos x \sin h}{h} \\
 &= \lim_{h \rightarrow 0} \left( \sin x \cdot \frac{\cos h - 1}{h} \right) + \lim_{h \rightarrow 0} \left( \cos x \cdot \frac{\sin h}{h} \right) \\
 &= \sin x \cdot \lim_{h \rightarrow 0} \frac{\cos h - 1}{h} + \cos x \cdot \lim_{h \rightarrow 0} \frac{\sin h}{h} \\
 &= \sin x \cdot 0 + \cos x \cdot 1 \\
 &= \cos x. && \text{Example 5(a) and Theorem 7, Section 2.4}
 \end{aligned}$$

**The derivative of the sine function is the cosine function:**

$$\frac{d}{dx}(\sin x) = \cos x.$$

### EXAMPLE 1 Derivatives Involving the Sine

(a)  $y = x^2 - \sin x$ :

$$\begin{aligned}
 \frac{dy}{dx} &= 2x - \frac{d}{dx}(\sin x) && \text{Difference Rule} \\
 &= 2x - \cos x.
 \end{aligned}$$

(b)  $y = x^2 \sin x$ :

$$\begin{aligned}
 \frac{dy}{dx} &= x^2 \frac{d}{dx}(\sin x) + 2x \sin x && \text{Product Rule} \\
 &= x^2 \cos x + 2x \sin x.
 \end{aligned}$$

(c)  $y = \frac{\sin x}{x}$ :

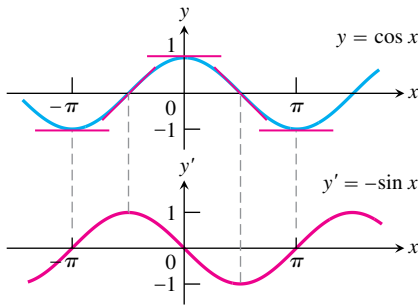
$$\begin{aligned}
 \frac{dy}{dx} &= \frac{x \cdot \frac{d}{dx}(\sin x) - \sin x \cdot 1}{x^2} && \text{Quotient Rule} \\
 &= \frac{x \cos x - \sin x}{x^2}.
 \end{aligned}$$

### Derivative of the Cosine Function

With the help of the angle sum formula for the cosine,

$$\cos(x+h) = \cos x \cos h - \sin x \sin h,$$

we have



**FIGURE 3.23** The curve  $y' = -\sin x$  as the graph of the slopes of the tangents to the curve  $y = \cos x$ .

$$\begin{aligned}
 \frac{d}{dx}(\cos x) &= \lim_{h \rightarrow 0} \frac{\cos(x+h) - \cos x}{h} && \text{Derivative definition} \\
 &= \lim_{h \rightarrow 0} \frac{(\cos x \cos h - \sin x \sin h) - \cos x}{h} && \text{Cosine angle sum identity} \\
 &= \lim_{h \rightarrow 0} \frac{\cos x(\cos h - 1) - \sin x \sin h}{h} \\
 &= \lim_{h \rightarrow 0} \cos x \cdot \frac{\cos h - 1}{h} - \lim_{h \rightarrow 0} \sin x \cdot \frac{\sin h}{h} \\
 &= \cos x \cdot \lim_{h \rightarrow 0} \frac{\cos h - 1}{h} - \sin x \cdot \lim_{h \rightarrow 0} \frac{\sin h}{h} \\
 &= \cos x \cdot 0 - \sin x \cdot 1 \\
 &= -\sin x.
 \end{aligned}$$

Example 5(a) and  
Theorem 7, Section 2.4

**The derivative of the cosine function is the negative of the sine function:**

$$\frac{d}{dx}(\cos x) = -\sin x$$

Figure 3.23 shows a way to visualize this result.

**EXAMPLE 2** Derivatives Involving the Cosine

(a)  $y = 5x + \cos x$ :

$$\begin{aligned}
 \frac{dy}{dx} &= \frac{d}{dx}(5x) + \frac{d}{dx}(\cos x) && \text{Sum Rule} \\
 &= 5 - \sin x.
 \end{aligned}$$

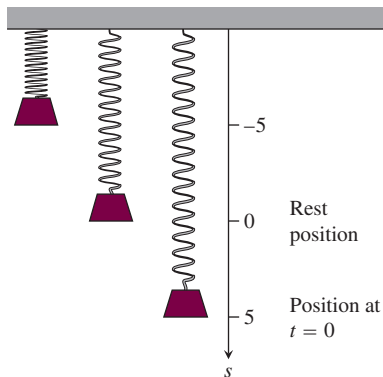
(b)  $y = \sin x \cos x$ :

$$\begin{aligned}
 \frac{dy}{dx} &= \sin x \frac{d}{dx}(\cos x) + \cos x \frac{d}{dx}(\sin x) && \text{Product Rule} \\
 &= \sin x(-\sin x) + \cos x(\cos x) \\
 &= \cos^2 x - \sin^2 x.
 \end{aligned}$$

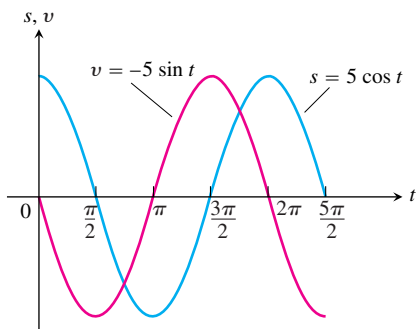
(c)  $y = \frac{\cos x}{1 - \sin x}$ :

$$\begin{aligned}
 \frac{dy}{dx} &= \frac{(1 - \sin x) \frac{d}{dx}(\cos x) - \cos x \frac{d}{dx}(1 - \sin x)}{(1 - \sin x)^2} && \text{Quotient Rule} \\
 &= \frac{(1 - \sin x)(-\sin x) - \cos x(0 - \cos x)}{(1 - \sin x)^2} \\
 &= \frac{1 - \sin x}{(1 - \sin x)^2} && \sin^2 x + \cos^2 x = 1 \\
 &= \frac{1}{1 - \sin x}.
 \end{aligned}$$





**FIGURE 3.24** A body hanging from a vertical spring and then displaced oscillates above and below its rest position. Its motion is described by trigonometric functions (Example 3).



**FIGURE 3.25** The graphs of the position and velocity of the body in Example 3.

### Simple Harmonic Motion

The motion of a body bobbing freely up and down on the end of a spring or bungee cord is an example of *simple harmonic motion*. The next example describes a case in which there are no opposing forces such as friction or buoyancy to slow the motion down.

#### EXAMPLE 3 Motion on a Spring

A body hanging from a spring (Figure 3.24) is stretched 5 units beyond its rest position and released at time  $t = 0$  to bob up and down. Its position at any later time  $t$  is

$$s = 5 \cos t.$$

What are its velocity and acceleration at time  $t$ ?

**Solution** We have

$$\text{Position:} \quad s = 5 \cos t$$

$$\text{Velocity:} \quad v = \frac{ds}{dt} = \frac{d}{dt}(5 \cos t) = -5 \sin t$$

$$\text{Acceleration:} \quad a = \frac{dv}{dt} = \frac{d}{dt}(-5 \sin t) = -5 \cos t.$$

Notice how much we can learn from these equations:

1. As time passes, the weight moves down and up between  $s = -5$  and  $s = 5$  on the  $s$ -axis. The amplitude of the motion is 5. The period of the motion is  $2\pi$ .
2. The velocity  $v = -5 \sin t$  attains its greatest magnitude, 5, when  $\cos t = 0$ , as the graphs show in Figure 3.25. Hence, the speed of the weight,  $|v| = 5|\sin t|$ , is greatest when  $\cos t = 0$ , that is, when  $s = 0$  (the rest position). The speed of the weight is zero when  $\sin t = 0$ . This occurs when  $s = 5 \cos t = \pm 5$ , at the endpoints of the interval of motion.
3. The acceleration value is always the exact opposite of the position value. When the weight is above the rest position, gravity is pulling it back down; when the weight is below the rest position, the spring is pulling it back up.
4. The acceleration,  $a = -5 \cos t$ , is zero only at the rest position, where  $\cos t = 0$  and the force of gravity and the force from the spring offset each other. When the weight is anywhere else, the two forces are unequal and acceleration is nonzero. The acceleration is greatest in magnitude at the points farthest from the rest position, where  $\cos t = \pm 1$ . ■

#### EXAMPLE 4 Jerk

The jerk of the simple harmonic motion in Example 3 is

$$j = \frac{da}{dt} = \frac{d}{dt}(-5 \cos t) = 5 \sin t.$$

It has its greatest magnitude when  $\sin t = \pm 1$ , not at the extremes of the displacement but at the rest position, where the acceleration changes direction and sign. ■

### Derivatives of the Other Basic Trigonometric Functions

Because  $\sin x$  and  $\cos x$  are differentiable functions of  $x$ , the related functions

$$\tan x = \frac{\sin x}{\cos x}, \quad \cot x = \frac{\cos x}{\sin x}, \quad \sec x = \frac{1}{\cos x}, \quad \text{and} \quad \csc x = \frac{1}{\sin x}$$

are differentiable at every value of  $x$  at which they are defined. Their derivatives, calculated from the Quotient Rule, are given by the following formulas. Notice the negative signs in the derivative formulas for the cofunctions.

### Derivatives of the Other Trigonometric Functions

$$\frac{d}{dx}(\tan x) = \sec^2 x$$

$$\frac{d}{dx}(\sec x) = \sec x \tan x$$

$$\frac{d}{dx}(\cot x) = -\csc^2 x$$

$$\frac{d}{dx}(\csc x) = -\csc x \cot x$$

To show a typical calculation, we derive the derivative of the tangent function. The other derivations are left to Exercise 50.

#### EXAMPLE 5

Find  $d(\tan x)/dx$ .

#### Solution

$$\begin{aligned} \frac{d}{dx}(\tan x) &= \frac{d}{dx}\left(\frac{\sin x}{\cos x}\right) = \frac{\cos x \frac{d}{dx}(\sin x) - \sin x \frac{d}{dx}(\cos x)}{\cos^2 x} && \text{Quotient Rule} \\ &= \frac{\cos x \cos x - \sin x(-\sin x)}{\cos^2 x} \\ &= \frac{\cos^2 x + \sin^2 x}{\cos^2 x} \\ &= \frac{1}{\cos^2 x} = \sec^2 x \end{aligned}$$

#### EXAMPLE 6

Find  $y''$  if  $y = \sec x$ .

#### Solution

$$\begin{aligned} y &= \sec x \\ y' &= \sec x \tan x \\ y'' &= \frac{d}{dx}(\sec x \tan x) \\ &= \sec x \frac{d}{dx}(\tan x) + \tan x \frac{d}{dx}(\sec x) && \text{Product Rule} \\ &= \sec x(\sec^2 x) + \tan x(\sec x \tan x) \\ &= \sec^3 x + \sec x \tan^2 x \end{aligned}$$

The differentiability of the trigonometric functions throughout their domains gives another proof of their continuity at every point in their domains (Theorem 1, Section 3.1). So we can calculate limits of algebraic combinations and composites of trigonometric functions by direct substitution.

**EXAMPLE 7** Finding a Trigonometric Limit

$$\lim_{x \rightarrow 0} \frac{\sqrt{2 + \sec x}}{\cos(\pi - \tan x)} = \frac{\sqrt{2 + \sec 0}}{\cos(\pi - \tan 0)} = \frac{\sqrt{2 + 1}}{\cos(\pi - 0)} = \frac{\sqrt{3}}{-1} = -\sqrt{3} \quad \blacksquare$$

## EXERCISES 3.4

## Derivatives

In Exercises 1–12, find  $dy/dx$ .

1.  $y = -10x + 3 \cos x$
2.  $y = \frac{3}{x} + 5 \sin x$
3.  $y = \csc x - 4\sqrt{x} + 7$
4.  $y = x^2 \cot x - \frac{1}{x^2}$
5.  $y = (\sec x + \tan x)(\sec x - \tan x)$
6.  $y = (\sin x + \cos x) \sec x$
7.  $y = \frac{\cot x}{1 + \cot x}$
8.  $y = \frac{\cos x}{1 + \sin x}$
9.  $y = \frac{4}{\cos x} + \frac{1}{\tan x}$
10.  $y = \frac{\cos x}{x} + \frac{x}{\cos x}$
11.  $y = x^2 \sin x + 2x \cos x - 2 \sin x$
12.  $y = x^2 \cos x - 2x \sin x - 2 \cos x$

In Exercises 13–16, find  $ds/dt$ .

13.  $s = \tan t - t$
14.  $s = t^2 - \sec t + 1$
15.  $s = \frac{1 + \csc t}{1 - \csc t}$
16.  $s = \frac{\sin t}{1 - \cos t}$

In Exercises 17–20, find  $dr/d\theta$ .

17.  $r = 4 - \theta^2 \sin \theta$
18.  $r = \theta \sin \theta + \cos \theta$
19.  $r = \sec \theta \csc \theta$
20.  $r = (1 + \sec \theta) \sin \theta$

In Exercises 21–24, find  $dp/dq$ .

21.  $p = 5 + \frac{1}{\cot q}$
22.  $p = (1 + \csc q) \cos q$
23.  $p = \frac{\sin q + \cos q}{\cos q}$
24.  $p = \frac{\tan q}{1 + \tan q}$

25. Find  $y''$  if

- a.  $y = \csc x$ .
- b.  $y = \sec x$ .

26. Find  $y^{(4)} = d^4 y/dx^4$  if

- a.  $y = -2 \sin x$ .
- b.  $y = 9 \cos x$ .

## Tangent Lines

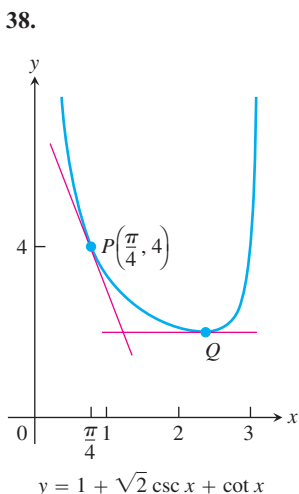
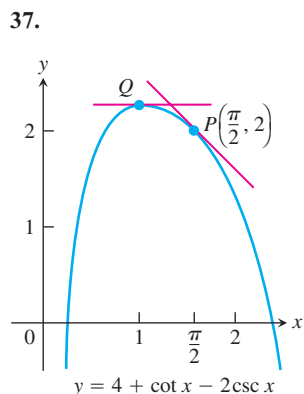
In Exercises 27–30, graph the curves over the given intervals, together with their tangents at the given values of  $x$ . Label each curve and tangent with its equation.

27.  $y = \sin x$ ,  $-3\pi/2 \leq x \leq 2\pi$   
 $x = -\pi, 0, 3\pi/2$
28.  $y = \tan x$ ,  $-\pi/2 < x < \pi/2$   
 $x = -\pi/3, 0, \pi/3$
29.  $y = \sec x$ ,  $-\pi/2 < x < \pi/2$   
 $x = -\pi/3, \pi/4$
30.  $y = 1 + \cos x$ ,  $-3\pi/2 \leq x \leq 2\pi$   
 $x = -\pi/3, 3\pi/2$

**T** Do the graphs of the functions in Exercises 31–34 have any horizontal tangents in the interval  $0 \leq x \leq 2\pi$ ? If so, where? If not, why not? Visualize your findings by graphing the functions with a grapher.

31.  $y = x + \sin x$
32.  $y = 2x + \sin x$
33.  $y = x - \cot x$
34.  $y = x + 2 \cos x$
35. Find all points on the curve  $y = \tan x$ ,  $-\pi/2 < x < \pi/2$ , where the tangent line is parallel to the line  $y = 2x$ . Sketch the curve and tangent(s) together, labeling each with its equation.
36. Find all points on the curve  $y = \cot x$ ,  $0 < x < \pi$ , where the tangent line is parallel to the line  $y = -x$ . Sketch the curve and tangent(s) together, labeling each with its equation.

In Exercises 37 and 38, find an equation for (a) the tangent to the curve at  $P$  and (b) the horizontal tangent to the curve at  $Q$ .



## Trigonometric Limits

Find the limits in Exercises 39–44.

39.  $\lim_{x \rightarrow 2} \sin\left(\frac{1}{x} - \frac{1}{2}\right)$

40.  $\lim_{x \rightarrow -\pi/6} \sqrt{1 + \cos(\pi \csc x)}$

41.  $\lim_{x \rightarrow 0} \sec\left[\cos x + \pi \tan\left(\frac{\pi}{4 \sec x}\right) - 1\right]$

42.  $\lim_{x \rightarrow 0} \sin\left(\frac{\pi + \tan x}{\tan x - 2 \sec x}\right)$

43.  $\lim_{t \rightarrow 0} \tan\left(1 - \frac{\sin t}{t}\right)$

44.  $\lim_{\theta \rightarrow 0} \cos\left(\frac{\pi \theta}{\sin \theta}\right)$

## Simple Harmonic Motion

The equations in Exercises 45 and 46 give the position  $s = f(t)$  of a body moving on a coordinate line ( $s$  in meters,  $t$  in seconds). Find the body's velocity, speed, acceleration, and jerk at time  $t = \pi/4$  sec.

45.  $s = 2 - 2 \sin t$

46.  $s = \sin t + \cos t$

## Theory and Examples

47. Is there a value of  $c$  that will make

$$f(x) = \begin{cases} \frac{\sin^2 3x}{x^2}, & x \neq 0 \\ c, & x = 0 \end{cases}$$

continuous at  $x = 0$ ? Give reasons for your answer.

48. Is there a value of  $b$  that will make

$$g(x) = \begin{cases} x + b, & x < 0 \\ \cos x, & x \geq 0 \end{cases}$$

continuous at  $x = 0$ ? Differentiable at  $x = 0$ ? Give reasons for your answers.

49. Find  $d^{999}/dx^{999}(\cos x)$ .

50. Derive the formula for the derivative with respect to  $x$  of

a.  $\sec x$ .    b.  $\csc x$ .    c.  $\cot x$ .

**T** 51. Graph  $y = \cos x$  for  $-\pi \leq x \leq 2\pi$ . On the same screen, graph

$$y = \frac{\sin(x+h) - \sin x}{h}$$

for  $h = 1, 0.5, 0.3$ , and  $0.1$ . Then, in a new window, try  $h = -1, -0.5$ , and  $-0.3$ . What happens as  $h \rightarrow 0^+$ ? As  $h \rightarrow 0^-$ ? What phenomenon is being illustrated here?

**T** 52. Graph  $y = -\sin x$  for  $-\pi \leq x \leq 2\pi$ . On the same screen, graph

$$y = \frac{\cos(x+h) - \cos x}{h}$$

for  $h = 1, 0.5, 0.3$ , and  $0.1$ . Then, in a new window, try  $h = -1, -0.5$ , and  $-0.3$ . What happens as  $h \rightarrow 0^+$ ? As  $h \rightarrow 0^-$ ? What phenomenon is being illustrated here?

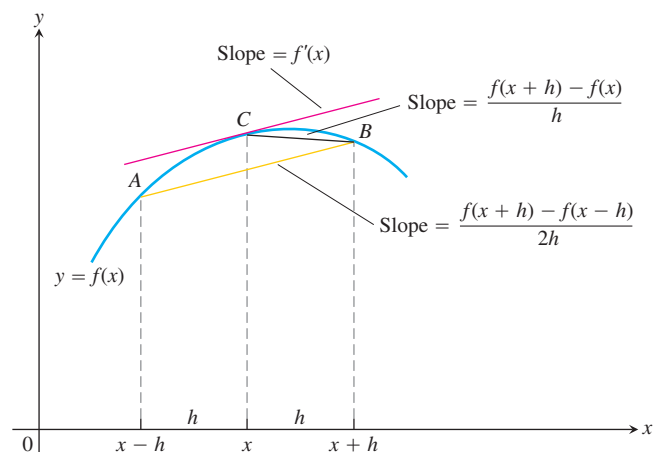
**T** 53. **Centered difference quotients** The *centered difference quotient*

$$\frac{f(x+h) - f(x-h)}{2h}$$

is used to approximate  $f'(x)$  in numerical work because (1) its limit as  $h \rightarrow 0$  equals  $f'(x)$  when  $f'(x)$  exists, and (2) it usually gives a better approximation of  $f'(x)$  for a given value of  $h$  than Fermat's difference quotient

$$\frac{f(x+h) - f(x)}{h}.$$

See the accompanying figure.



- a. To see how rapidly the centered difference quotient for  $f(x) = \sin x$  converges to  $f'(x) = \cos x$ , graph  $y = \cos x$  together with

$$y = \frac{\sin(x+h) - \sin(x-h)}{2h}$$

over the interval  $[-\pi, 2\pi]$  for  $h = 1, 0.5$ , and  $0.3$ . Compare the results with those obtained in Exercise 51 for the same values of  $h$ .

- b. To see how rapidly the centered difference quotient for  $f(x) = \cos x$  converges to  $f'(x) = -\sin x$ , graph  $y = -\sin x$  together with

$$y = \frac{\cos(x+h) - \cos(x-h)}{2h}$$

over the interval  $[-\pi, 2\pi]$  for  $h = 1, 0.5$ , and  $0.3$ . Compare the results with those obtained in Exercise 52 for the same values of  $h$ .

- 54. A caution about centered difference quotients** (Continuation of Exercise 53.) The quotient

$$\frac{f(x+h) - f(x-h)}{2h}$$

may have a limit as  $h \rightarrow 0$  when  $f$  has no derivative at  $x$ . As a case in point, take  $f(x) = |x|$  and calculate

$$\lim_{h \rightarrow 0} \frac{|0+h| - |0-h|}{2h}.$$

As you will see, the limit exists even though  $f(x) = |x|$  has no derivative at  $x = 0$ . *Moral:* Before using a centered difference quotient, be sure the derivative exists.

- T 55. Slopes on the graph of the tangent function** Graph  $y = \tan x$  and its derivative together on  $(-\pi/2, \pi/2)$ . Does the graph of the tangent function appear to have a smallest slope? a largest slope? Is the slope ever negative? Give reasons for your answers.

- T 56. Slopes on the graph of the cotangent function** Graph  $y = \cot x$  and its derivative together for  $0 < x < \pi$ . Does the graph of the cotangent function appear to have a smallest slope? A largest slope? Is the slope ever positive? Give reasons for your answers.

- T 57. Exploring  $(\sin kx)/x$**  Graph  $y = (\sin x)/x$ ,  $y = (\sin 2x)/x$ , and  $y = (\sin 4x)/x$  together over the interval  $-2 \leq x \leq 2$ . Where does each graph appear to cross the  $y$ -axis? Do the graphs really intersect the axis? What would you expect the graphs of  $y = (\sin 5x)/x$  and  $y = (\sin(-3x))/x$  to do as  $x \rightarrow 0$ ? Why? What about the graph of  $y = (\sin kx)/x$  for other values of  $k$ ? Give reasons for your answers.

- T 58. Radians versus degrees: degree mode derivatives** What happens to the derivatives of  $\sin x$  and  $\cos x$  if  $x$  is measured in degrees instead of radians? To find out, take the following steps.

- a. With your graphing calculator or computer grapher in *degree mode*, graph

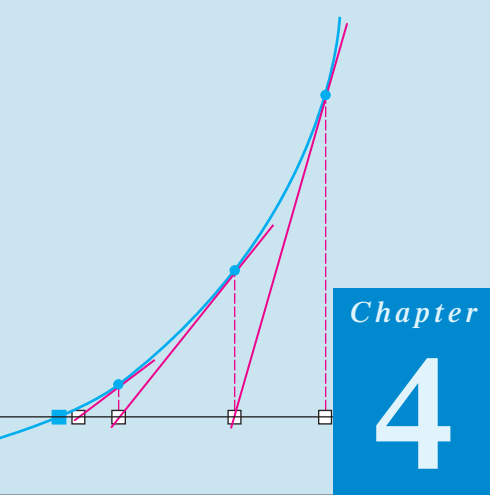
$$f(h) = \frac{\sin h}{h}$$

and estimate  $\lim_{h \rightarrow 0} f(h)$ . Compare your estimate with  $\pi/180$ . Is there any reason to believe the limit *should* be  $\pi/180$ ?

- b. With your grapher still in degree mode, estimate

$$\lim_{h \rightarrow 0} \frac{\cos h - 1}{h}.$$

- c. Now go back to the derivation of the formula for the derivative of  $\sin x$  in the text and carry out the steps of the derivation using degree-mode limits. What formula do you obtain for the derivative?
- d. Work through the derivation of the formula for the derivative of  $\cos x$  using degree-mode limits. What formula do you obtain for the derivative?
- e. The disadvantages of the degree-mode formulas become apparent as you start taking derivatives of higher order. Try it. What are the second and third degree-mode derivatives of  $\sin x$  and  $\cos x$ ?



# APPLICATIONS OF DERIVATIVES

**OVERVIEW** This chapter studies some of the important applications of derivatives. We learn how derivatives are used to find extreme values of functions, to determine and analyze the shapes of graphs, to calculate limits of fractions whose numerators and denominators both approach zero or infinity, and to find numerically where a function equals zero. We also consider the process of recovering a function from its derivative. The key to many of these accomplishments is the Mean Value Theorem, a theorem whose corollaries provide the gateway to integral calculus in Chapter 5.

## 4.1

### Extreme Values of Functions

This section shows how to locate and identify extreme values of a continuous function from its derivative. Once we can do this, we can solve a variety of *optimization problems* in which we find the optimal (best) way to do something in a given situation.

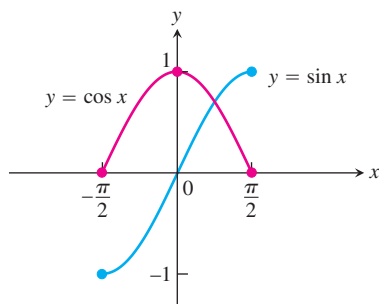
#### DEFINITIONS Absolute Maximum, Absolute Minimum

Let  $f$  be a function with domain  $D$ . Then  $f$  has an **absolute maximum** value on  $D$  at a point  $c$  if

$$f(x) \leq f(c) \quad \text{for all } x \text{ in } D$$

and an **absolute minimum** value on  $D$  at  $c$  if

$$f(x) \geq f(c) \quad \text{for all } x \text{ in } D.$$



**FIGURE 4.1** Absolute extrema for the sine and cosine functions on  $[-\pi/2, \pi/2]$ . These values can depend on the domain of a function.

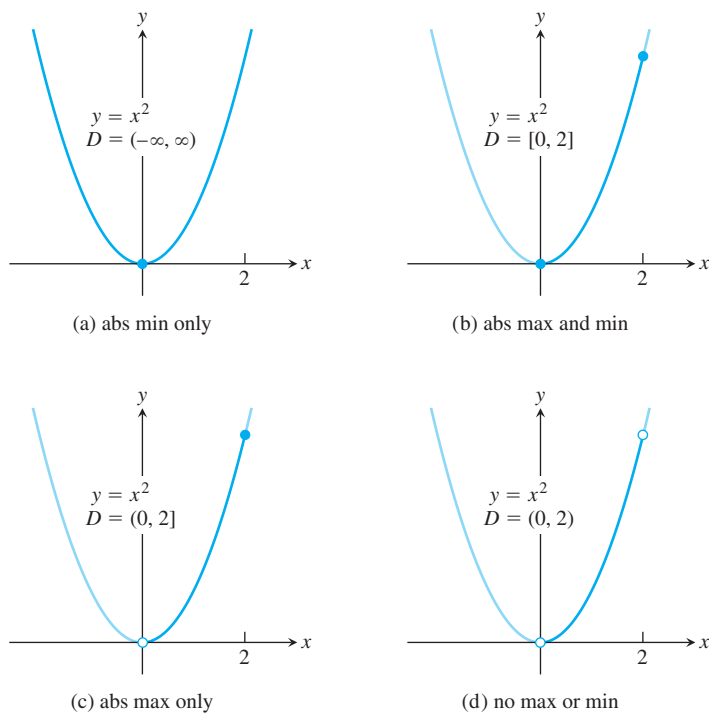
Absolute maximum and minimum values are called absolute **extrema** (plural of the Latin *extremum*). Absolute extrema are also called **global** extrema, to distinguish them from *local extrema* defined below.

For example, on the closed interval  $[-\pi/2, \pi/2]$  the function  $f(x) = \cos x$  takes on an absolute maximum value of 1 (once) and an absolute minimum value of 0 (twice). On the same interval, the function  $g(x) = \sin x$  takes on a maximum value of 1 and a minimum value of  $-1$  (Figure 4.1).

Functions with the same defining rule can have different extrema, depending on the domain.

**EXAMPLE 1** Exploring Absolute Extrema

The absolute extrema of the following functions on their domains can be seen in Figure 4.2.



**FIGURE 4.2** Graphs for Example 1.

| Function rule | Domain $D$          | Absolute extrema on $D$  |
|---------------|---------------------|--|
| (a) $y = x^2$ | $(-\infty, \infty)$ | No absolute maximum.<br>Absolute minimum of 0 at $x = 0$ .               |
| (b) $y = x^2$ | $[0, 2]$            | Absolute maximum of 4 at $x = 2$ .<br>Absolute minimum of 0 at $x = 0$ . |
| (c) $y = x^2$ | $(0, 2]$            | Absolute maximum of 4 at $x = 2$ .<br>No absolute minimum.               |
| (d) $y = x^2$ | $(0, 2)$            | No absolute extrema.   |

#### HISTORICAL BIOGRAPHY

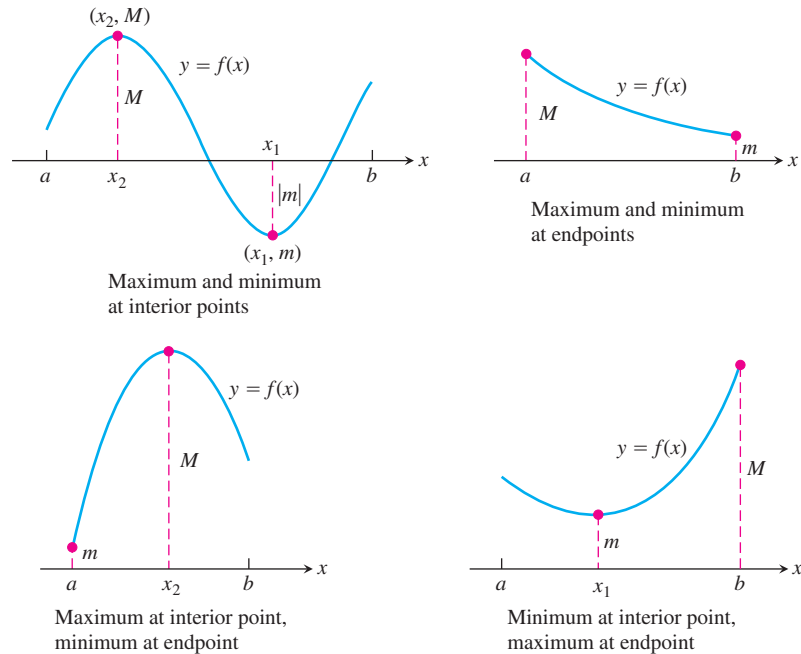
Daniel Bernoulli  
(1700–1789)

The following theorem asserts that a function which is continuous at every point of a closed interval  $[a, b]$  has an absolute maximum and an absolute minimum value on the interval. We always look for these values when we graph a function.

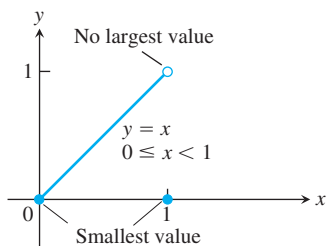


**THEOREM 1 The Extreme Value Theorem**

If  $f$  is continuous on a closed interval  $[a, b]$ , then  $f$  attains both an absolute maximum value  $M$  and an absolute minimum value  $m$  in  $[a, b]$ . That is, there are numbers  $x_1$  and  $x_2$  in  $[a, b]$  with  $f(x_1) = m$ ,  $f(x_2) = M$ , and  $m \leq f(x) \leq M$  for every other  $x$  in  $[a, b]$  (Figure 4.3).



**FIGURE 4.3** Some possibilities for a continuous function's maximum and minimum on a closed interval  $[a, b]$ .



**FIGURE 4.4** Even a single point of discontinuity can keep a function from having either a maximum or minimum value on a closed interval. The function

$$y = \begin{cases} x, & 0 \leq x < 1 \\ 0, & x = 1 \end{cases}$$

is continuous at every point of  $[0, 1]$  except  $x = 1$ , yet its graph over  $[0, 1]$  does not have a highest point.

The proof of The Extreme Value Theorem requires a detailed knowledge of the real number system (see Appendix 4) and we will not give it here. Figure 4.3 illustrates possible locations for the absolute extrema of a continuous function on a closed interval  $[a, b]$ . As we observed for the function  $y = \cos x$ , it is possible that an absolute minimum (or absolute maximum) may occur at two or more different points of the interval.

The requirements in Theorem 1 that the interval be closed and finite, and that the function be continuous, are key ingredients. Without them, the conclusion of the theorem need not hold. Example 1 shows that an absolute extreme value may not exist if the interval fails to be both closed and finite. Figure 4.4 shows that the continuity requirement cannot be omitted.

**Local (Relative) Extreme Values**

Figure 4.5 shows a graph with five points where a function has extreme values on its domain  $[a, b]$ . The function's absolute minimum occurs at  $a$  even though at  $e$  the function's value is

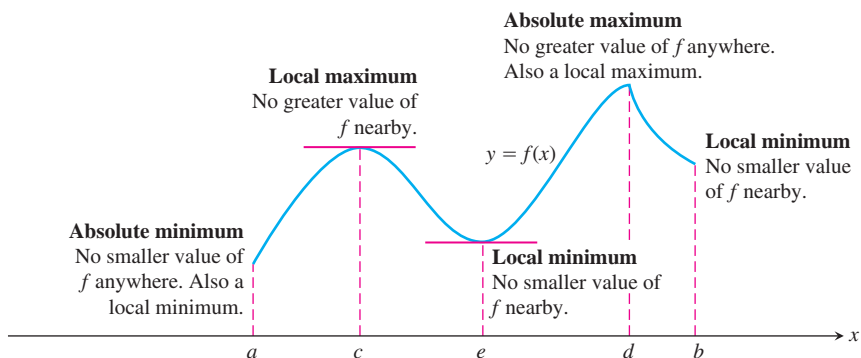


FIGURE 4.5 How to classify maxima and minima.

smaller than at any other point *nearby*. The curve rises to the left and falls to the right around  $c$ , making  $f(c)$  a maximum locally. The function attains its absolute maximum at  $d$ .

#### DEFINITIONS Local Maximum, Local Minimum

A function  $f$  has a **local maximum** value at an interior point  $c$  of its domain if

$$f(x) \leq f(c) \quad \text{for all } x \text{ in some open interval containing } c.$$

A function  $f$  has a **local minimum** value at an interior point  $c$  of its domain if

$$f(x) \geq f(c) \quad \text{for all } x \text{ in some open interval containing } c.$$

We can extend the definitions of local extrema to the endpoints of intervals by defining  $f$  to have a **local maximum** or **local minimum** value *at an endpoint*  $c$  if the appropriate inequality holds for all  $x$  in some half-open interval in its domain containing  $c$ . In Figure 4.5, the function  $f$  has local maxima at  $c$  and  $d$  and local minima at  $a$ ,  $e$ , and  $b$ . Local extrema are also called **relative extrema**.

An absolute maximum is also a local maximum. Being the largest value overall, it is also the largest value in its immediate neighborhood. Hence, *a list of all local maxima will automatically include the absolute maximum if there is one*. Similarly, *a list of all local minima will include the absolute minimum if there is one*.

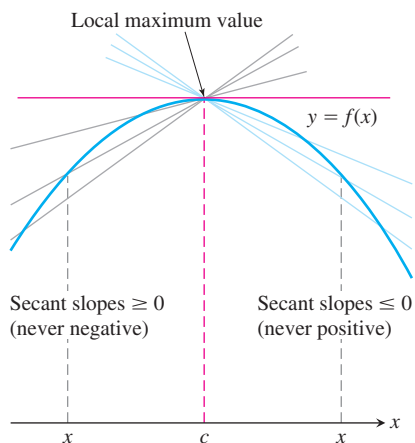
### Finding Extrema

The next theorem explains why we usually need to investigate only a few values to find a function's extrema.

#### THEOREM 2 The First Derivative Theorem for Local Extreme Values

If  $f$  has a local maximum or minimum value at an interior point  $c$  of its domain, and if  $f'$  is defined at  $c$ , then

$$f'(c) = 0.$$



**FIGURE 4.6** A curve with a local maximum value. The slope at  $c$ , simultaneously the limit of nonpositive numbers and nonnegative numbers, is zero.

**Proof** To prove that  $f'(c)$  is zero at a local extremum, we show first that  $f'(c)$  cannot be positive and second that  $f'(c)$  cannot be negative. The only number that is neither positive nor negative is zero, so that is what  $f'(c)$  must be.

To begin, suppose that  $f$  has a local maximum value at  $x = c$  (Figure 4.6) so that  $f(x) - f(c) \leq 0$  for all values of  $x$  near enough to  $c$ . Since  $c$  is an interior point of  $f$ 's domain,  $f'(c)$  is defined by the two-sided limit

$$\lim_{x \rightarrow c} \frac{f(x) - f(c)}{x - c}.$$

This means that the right-hand and left-hand limits both exist at  $x = c$  and equal  $f'(c)$ . When we examine these limits separately, we find that

$$f'(c) = \lim_{x \rightarrow c^+} \frac{f(x) - f(c)}{x - c} \leq 0. \quad \begin{array}{l} \text{Because } (x - c) > 0 \\ \text{and } f(x) \leq f(c) \end{array} \quad (1)$$

Similarly,

$$f'(c) = \lim_{x \rightarrow c^-} \frac{f(x) - f(c)}{x - c} \geq 0. \quad \begin{array}{l} \text{Because } (x - c) < 0 \\ \text{and } f(x) \leq f(c) \end{array} \quad (2)$$

Together, Equations (1) and (2) imply  $f'(c) = 0$ .

This proves the theorem for local maximum values. To prove it for local minimum values, we simply use  $f(x) \geq f(c)$ , which reverses the inequalities in Equations (1) and (2). ■

Theorem 2 says that a function's first derivative is always zero at an interior point where the function has a local extreme value and the derivative is defined. Hence the only places where a function  $f$  can possibly have an extreme value (local or global) are

1. interior points where  $f' = 0$ ,
2. interior points where  $f'$  is undefined,
3. endpoints of the domain of  $f$ .

The following definition helps us to summarize.

#### DEFINITION Critical Point

An interior point of the domain of a function  $f$  where  $f'$  is zero or undefined is a **critical point** of  $f$ .

Thus the only domain points where a function can assume extreme values are critical points and endpoints.

Be careful not to misinterpret Theorem 2 because its converse is false. A differentiable function may have a critical point at  $x = c$  without having a local extreme value there. For instance, the function  $f(x) = x^3$  has a critical point at the origin and zero value there, but is positive to the right of the origin and negative to the left. So it cannot have a local extreme value at the origin. Instead, it has a *point of inflection* there. This idea is defined and discussed further in Section 4.4.

Most quests for extreme values call for finding the absolute extrema of a continuous function on a closed and finite interval. Theorem 1 assures us that such values exist; Theorem 2 tells us that they are taken on only at critical points and endpoints. Often we can

simply list these points and calculate the corresponding function values to find what the largest and smallest values are, and where they are located.

### How to Find the Absolute Extrema of a Continuous Function $f$ on a Finite Closed Interval

1. Evaluate  $f$  at all critical points and endpoints.
2. Take the largest and smallest of these values.

#### EXAMPLE 2 Finding Absolute Extrema

Find the absolute maximum and minimum values of  $f(x) = x^2$  on  $[-2, 1]$ .

**Solution** The function is differentiable over its entire domain, so the only critical point is where  $f'(x) = 2x = 0$ , namely  $x = 0$ . We need to check the function's values at  $x = 0$  and at the endpoints  $x = -2$  and  $x = 1$ :

$$\text{Critical point value: } f(0) = 0$$

$$\text{Endpoint values: } f(-2) = 4$$

$$f(1) = 1$$

The function has an absolute maximum value of 4 at  $x = -2$  and an absolute minimum value of 0 at  $x = 0$ . ■

#### EXAMPLE 3 Absolute Extrema at Endpoints

Find the absolute extrema values of  $g(t) = 8t - t^4$  on  $[-2, 1]$ .

**Solution** The function is differentiable on its entire domain, so the only critical points occur where  $g'(t) = 0$ . Solving this equation gives

$$8 - 4t^3 = 0 \quad \text{or} \quad t = \sqrt[3]{2} > 1,$$

a point not in the given domain. The function's absolute extrema therefore occur at the endpoints,  $g(-2) = -32$  (absolute minimum), and  $g(1) = 7$  (absolute maximum). See Figure 4.7. ■

#### EXAMPLE 4 Finding Absolute Extrema on a Closed Interval

Find the absolute maximum and minimum values of  $f(x) = x^{2/3}$  on the interval  $[-2, 3]$ .

**Solution** We evaluate the function at the critical points and endpoints and take the largest and smallest of the resulting values.

The first derivative

$$f'(x) = \frac{2}{3}x^{-1/3} = \frac{2}{3\sqrt[3]{x}}$$

has no zeros but is undefined at the interior point  $x = 0$ . The values of  $f$  at this one critical point and at the endpoints are

$$\text{Critical point value: } f(0) = 0$$

$$\text{Endpoint values: } f(-2) = (-2)^{2/3} = \sqrt[3]{4}$$

$$f(3) = (3)^{2/3} = \sqrt[3]{9}.$$

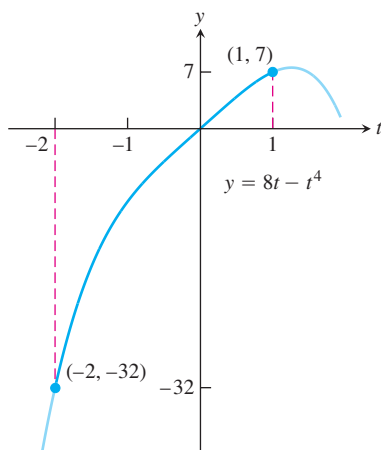
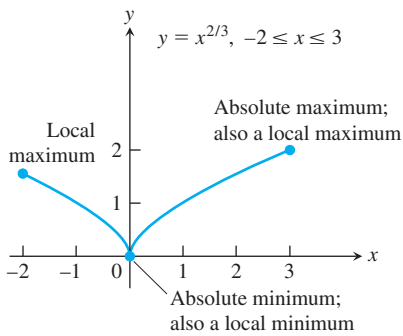
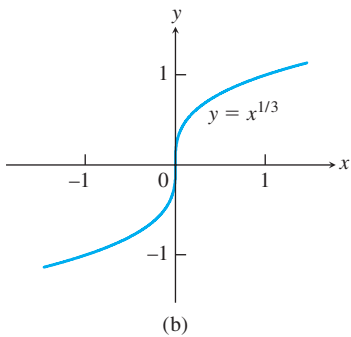
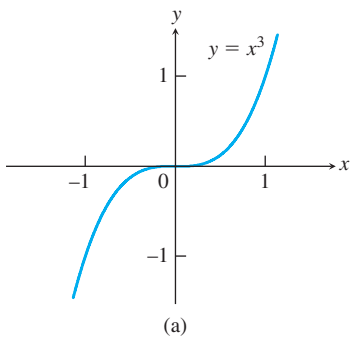


FIGURE 4.7 The extreme values of  $g(t) = 8t - t^4$  on  $[-2, 1]$  (Example 3).



**FIGURE 4.8** The extreme values of  $f(x) = x^{2/3}$  on  $[-2, 3]$  occur at  $x = 0$  and  $x = 3$  (Example 4).



**FIGURE 4.9** Critical points without extreme values. (a)  $y' = 3x^2$  is 0 at  $x = 0$ , but  $y = x^3$  has no extremum there. (b)  $y' = (1/3)x^{-2/3}$  is undefined at  $x = 0$ , but  $y = x^{1/3}$  has no extremum there.

We can see from this list that the function’s absolute maximum value is  $\sqrt[3]{9} \approx 2.08$ , and it occurs at the right endpoint  $x = 3$ . The absolute minimum value is 0, and it occurs at the interior point  $x = 0$ . (Figure 4.8). ■

While a function’s extrema can occur only at critical points and endpoints, not every critical point or endpoint signals the presence of an extreme value. Figure 4.9 illustrates this for interior points.

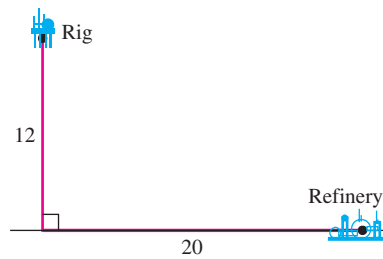
We complete this section with an example illustrating how the concepts we studied are used to solve a real-world optimization problem.

**EXAMPLE 5** Piping Oil from a Drilling Rig to a Refinery

A drilling rig 12 mi offshore is to be connected by pipe to a refinery onshore, 20 mi straight down the coast from the rig. If underwater pipe costs \$500,000 per mile and land-based pipe costs \$300,000 per mile, what combination of the two will give the least expensive connection?

**Solution** We try a few possibilities to get a feel for the problem:

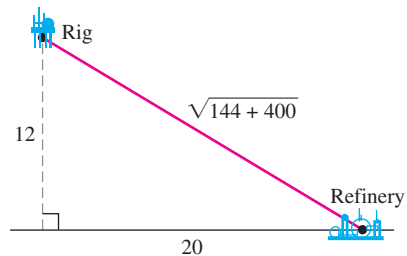
(a) *Smallest amount of underwater pipe*



Underwater pipe is more expensive, so we use as little as we can. We run straight to shore (12 mi) and use land pipe for 20 mi to the refinery.

$$\begin{aligned} \text{Dollar cost} &= 12(500,000) + 20(300,000) \\ &= 12,000,000 \end{aligned}$$

(b) *All pipe underwater (most direct route)*

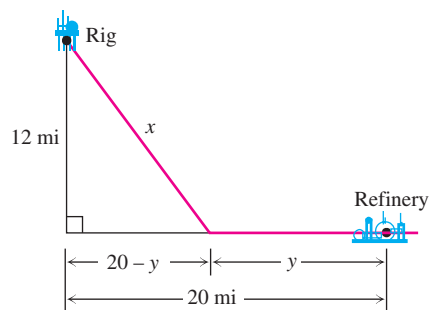


We go straight to the refinery underwater.

$$\begin{aligned} \text{Dollar cost} &= \sqrt{544} (500,000) \\ &\approx 11,661,900 \end{aligned}$$

This is less expensive than plan (a).

(c) *Something in between*



Now we introduce the length  $x$  of underwater pipe and the length  $y$  of land-based pipe as variables. The right angle opposite the rig is the key to expressing the relationship between  $x$  and  $y$ , for the Pythagorean theorem gives

$$\begin{aligned}x^2 &= 12^2 + (20 - y)^2 \\x &= \sqrt{144 + (20 - y)^2}.\end{aligned}\quad (3)$$

Only the positive root has meaning in this model.

The dollar cost of the pipeline is

$$c = 500,000x + 300,000y.$$

To express  $c$  as a function of a single variable, we can substitute for  $x$ , using Equation (3):

$$c(y) = 500,000\sqrt{144 + (20 - y)^2} + 300,000y.$$

Our goal now is to find the minimum value of  $c(y)$  on the interval  $0 \leq y \leq 20$ . The first derivative of  $c(y)$  with respect to  $y$  according to the Chain Rule is

$$\begin{aligned}c'(y) &= 500,000 \cdot \frac{1}{2} \cdot \frac{2(20 - y)(-1)}{\sqrt{144 + (20 - y)^2}} + 300,000 \\&= -500,000 \frac{20 - y}{\sqrt{144 + (20 - y)^2}} + 300,000.\end{aligned}$$

Setting  $c'$  equal to zero gives

$$500,000(20 - y) = 300,000\sqrt{144 + (20 - y)^2}$$

$$\frac{5}{3}(20 - y) = \sqrt{144 + (20 - y)^2}$$

$$\frac{25}{9}(20 - y)^2 = 144 + (20 - y)^2$$

$$\frac{16}{9}(20 - y)^2 = 144$$

$$(20 - y) = \pm \frac{3}{4} \cdot 12 = \pm 9$$

$$y = 20 \pm 9$$

$$y = 11 \quad \text{or} \quad y = 29.$$

Only  $y = 11$  lies in the interval of interest. The values of  $c$  at this one critical point and at the endpoints are

$$c(11) = 10,800,000$$

$$c(0) = 11,661,900$$

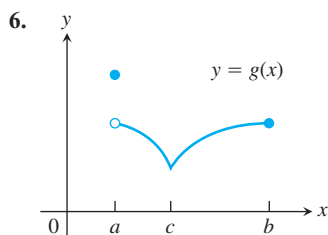
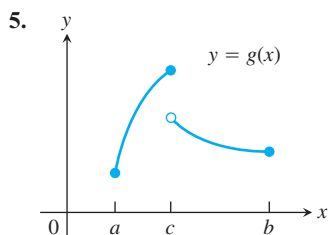
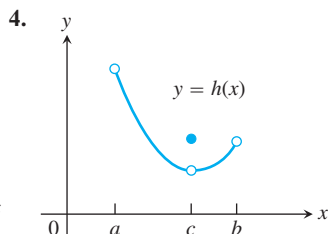
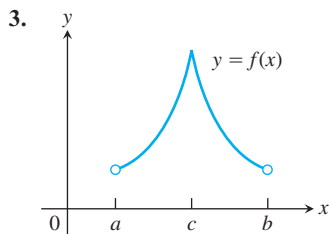
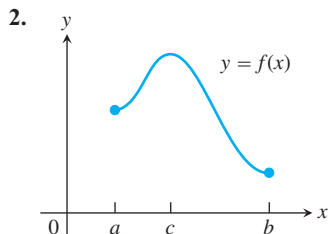
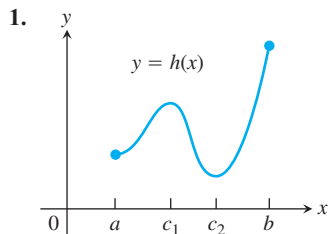
$$c(20) = 12,000,000$$

The least expensive connection costs \$10,800,000, and we achieve it by running the line underwater to the point on shore 11 mi from the refinery. ■

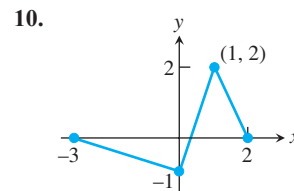
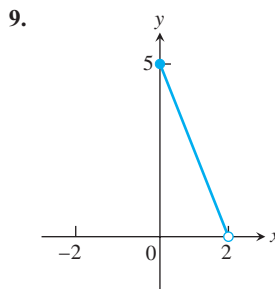
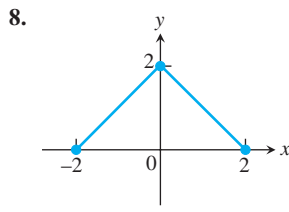
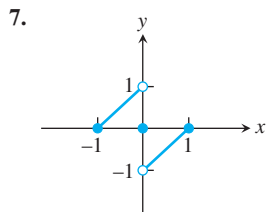
## EXERCISES 4.1

## Finding Extrema from Graphs

In Exercises 1–6, determine from the graph whether the function has any absolute extreme values on  $[a, b]$ . Then explain how your answer is consistent with Theorem 1.



In Exercises 7–10, find the extreme values and where they occur.



In Exercises 11–14, match the table with a graph.

11.

| $x$ | $f'(x)$ |
|-----|---------|
| $a$ | 0       |
| $b$ | 0       |
| $c$ | 5       |

12.

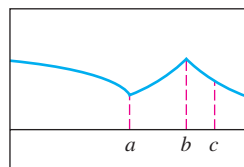
| $x$ | $f'(x)$ |
|-----|---------|
| $a$ | 0       |
| $b$ | 0       |
| $c$ | -5      |

13.

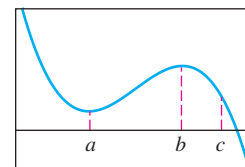
| $x$ | $f'(x)$        |
|-----|----------------|
| $a$ | does not exist |
| $b$ | 0              |
| $c$ | -2             |

14.

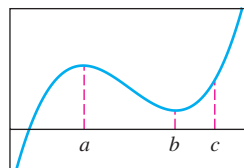
| $x$ | $f'(x)$        |
|-----|----------------|
| $a$ | does not exist |
| $b$ | does not exist |
| $c$ | -1.7           |



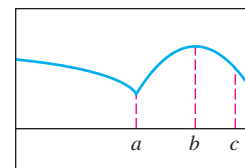
(a)



(b)



(c)



(d)



### Absolute Extrema on Finite Closed Intervals

In Exercises 15–30, find the absolute maximum and minimum values of each function on the given interval. Then graph the function. Identify the points on the graph where the absolute extrema occur, and include their coordinates.

15.  $f(x) = \frac{2}{3}x - 5, \quad -2 \leq x \leq 3$

16.  $f(x) = -x - 4, \quad -4 \leq x \leq 1$

17.  $f(x) = x^2 - 1, \quad -1 \leq x \leq 2$

18.  $f(x) = 4 - x^2, \quad -3 \leq x \leq 1$

19.  $F(x) = -\frac{1}{x^2}, \quad 0.5 \leq x \leq 2$

20.  $F(x) = -\frac{1}{x}, \quad -2 \leq x \leq -1$

21.  $h(x) = \sqrt[3]{x}, \quad -1 \leq x \leq 8$

22.  $h(x) = -3x^{2/3}, \quad -1 \leq x \leq 1$

23.  $g(x) = \sqrt{4 - x^2}, \quad -2 \leq x \leq 1$

24.  $g(x) = -\sqrt{5 - x^2}, \quad -\sqrt{5} \leq x \leq 0$

25.  $f(\theta) = \sin \theta, \quad -\frac{\pi}{2} \leq \theta \leq \frac{5\pi}{6}$

26.  $f(\theta) = \tan \theta, \quad -\frac{\pi}{3} \leq \theta \leq \frac{\pi}{4}$

27.  $g(x) = \csc x, \quad \frac{\pi}{3} \leq x \leq \frac{2\pi}{3}$

28.  $g(x) = \sec x, \quad -\frac{\pi}{3} \leq x \leq \frac{\pi}{6}$

29.  $f(t) = 2 - |t|, \quad -1 \leq t \leq 3$

30.  $f(t) = |t - 5|, \quad 4 \leq t \leq 7$

In Exercises 31–34, find the function's absolute maximum and minimum values and say where they are assumed.

31.  $f(x) = x^{4/3}, \quad -1 \leq x \leq 8$

32.  $f(x) = x^{5/3}, \quad -1 \leq x \leq 8$

33.  $g(\theta) = \theta^{3/5}, \quad -32 \leq \theta \leq 1$

34.  $h(\theta) = 3\theta^{2/3}, \quad -27 \leq \theta \leq 8$

### Finding Extreme Values

In Exercises 35–44, find the extreme values of the function and where they occur.

35.  $y = 2x^2 - 8x + 9$

37.  $y = x^3 + x^2 - 8x + 5$

39.  $y = \sqrt{x^2 - 1}$

41.  $y = \frac{1}{\sqrt[3]{1 - x^2}}$

43.  $y = \frac{x}{x^2 + 1}$

36.  $y = x^3 - 2x + 4$

38.  $y = x^3 - 3x^2 + 3x - 2$

40.  $y = \frac{1}{\sqrt{1 - x^2}}$

42.  $y = \sqrt{3 + 2x - x^2}$

44.  $y = \frac{x + 1}{x^2 + 2x + 2}$

### Local Extrema and Critical Points

In Exercises 45–52, find the derivative at each critical point and determine the local extreme values.

45.  $y = x^{2/3}(x + 2)$

46.  $y = x^{2/3}(x^2 - 4)$

47.  $y = x\sqrt{4 - x^2}$

48.  $y = x^2\sqrt{3 - x}$

49.  $y = \begin{cases} 4 - 2x, & x \leq 1 \\ x + 1, & x > 1 \end{cases}$

50.  $y = \begin{cases} 3 - x, & x < 0 \\ 3 + 2x - x^2, & x \geq 0 \end{cases}$

51.  $y = \begin{cases} -x^2 - 2x + 4, & x \leq 1 \\ -x^2 + 6x - 4, & x > 1 \end{cases}$

52.  $y = \begin{cases} -\frac{1}{4}x^2 - \frac{1}{2}x + \frac{15}{4}, & x \leq 1 \\ x^3 - 6x^2 + 8x, & x > 1 \end{cases}$

In Exercises 53 and 54, give reasons for your answers.

53. Let  $f(x) = (x - 2)^{2/3}$ .

a. Does  $f'(2)$  exist?b. Show that the only local extreme value of  $f$  occurs at  $x = 2$ .

c. Does the result in part (b) contradict the Extreme Value Theorem?

d. Repeat parts (a) and (b) for  $f(x) = (x - a)^{2/3}$ , replacing 2 by  $a$ .

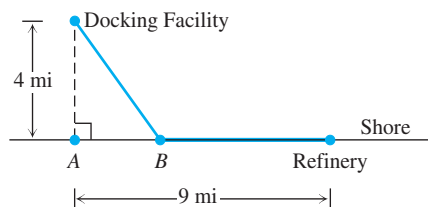
54. Let  $f(x) = |x^3 - 9x|$ .

a. Does  $f'(0)$  exist?b. Does  $f'(3)$  exist?c. Does  $f'(-3)$  exist?d. Determine all extrema of  $f$ .

### Optimization Applications

Whenever you are maximizing or minimizing a function of a single variable, we urge you to graph the function over the domain that is appropriate to the problem you are solving. The graph will provide insight before you begin to calculate and will furnish a visual context for understanding your answer.

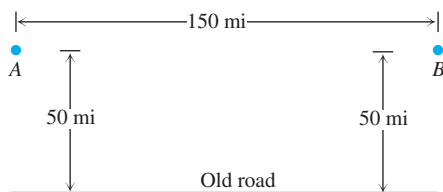
**55. Constructing a pipeline** Supertankers off-load oil at a docking facility 4 mi offshore. The nearest refinery is 9 mi east of the shore point nearest the docking facility. A pipeline must be constructed connecting the docking facility with the refinery. The pipeline costs \$300,000 per mile if constructed underwater and \$200,000 per mile if overland.



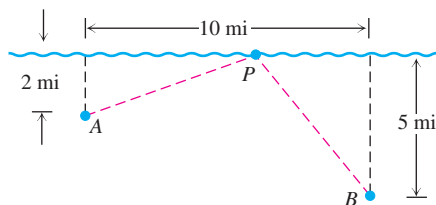
a. Locate Point  $B$  to minimize the cost of the construction.

- b. The cost of underwater construction is expected to increase, whereas the cost of overland construction is expected to stay constant. At what cost does it become optimal to construct the pipeline directly to Point  $A$ ?

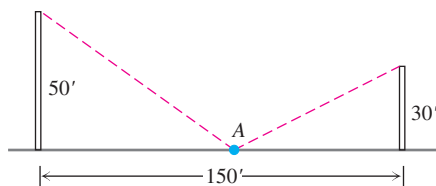
56. **Upgrading a highway** A highway must be constructed to connect Village  $A$  with Village  $B$ . There is a rudimentary roadway that can be upgraded 50 mi south of the line connecting the two villages. The cost of upgrading the existing roadway is \$300,000 per mile, whereas the cost of constructing a new highway is \$500,000 per mile. Find the combination of upgrading and new construction that minimizes the cost of connecting the two villages. Clearly define the location of the proposed highway.



57. **Locating a pumping station** Two towns lie on the south side of a river. A pumping station is to be located to serve the two towns. A pipeline will be constructed from the pumping station to each of the towns along the line connecting the town and the pumping station. Locate the pumping station to minimize the amount of pipeline that must be constructed.



58. **Length of a guy wire** One tower is 50 ft high and another tower is 30 ft high. The towers are 150 ft apart. A guy wire is to run from Point  $A$  to the top of each tower.



- Locate Point  $A$  so that the total length of guy wire is minimal.
  - Show in general that regardless of the height of the towers, the length of guy wire is minimized if the angles at  $A$  are equal.
59. The function

$$V(x) = x(10 - 2x)(16 - 2x), \quad 0 < x < 5,$$

models the volume of a box.

- Find the extreme values of  $V$ .

- Interpret any values found in part (a) in terms of volume of the box.

60. The function

$$P(x) = 2x + \frac{200}{x}, \quad 0 < x < \infty,$$

models the perimeter of a rectangle of dimensions  $x$  by  $100/x$ .

- Find any extreme values of  $P$ .
  - Give an interpretation in terms of perimeter of the rectangle for any values found in part (a).
61. **Area of a right triangle** What is the largest possible area for a right triangle whose hypotenuse is 5 cm long?
62. **Area of an athletic field** An athletic field is to be built in the shape of a rectangle  $x$  units long capped by semicircular regions of radius  $r$  at the two ends. The field is to be bounded by a 400-m racetrack.
- Express the area of the rectangular portion of the field as a function of  $x$  alone or  $r$  alone (your choice).
  - What values of  $x$  and  $r$  give the rectangular portion the largest possible area?

63. **Maximum height of a vertically moving body** The height of a body moving vertically is given by

$$s = -\frac{1}{2}gt^2 + v_0t + s_0, \quad g > 0,$$

with  $s$  in meters and  $t$  in seconds. Find the body's maximum height.

64. **Peak alternating current** Suppose that at any given time  $t$  (in seconds) the current  $i$  (in amperes) in an alternating current circuit is  $i = 2 \cos t + 2 \sin t$ . What is the peak current for this circuit (largest magnitude)?

## Theory and Examples

65. **A minimum with no derivative** The function  $f(x) = |x|$  has an absolute minimum value at  $x = 0$  even though  $f$  is not differentiable at  $x = 0$ . Is this consistent with Theorem 2? Give reasons for your answer.
66. **Even functions** If an even function  $f(x)$  has a local maximum value at  $x = c$ , can anything be said about the value of  $f$  at  $x = -c$ ? Give reasons for your answer.
67. **Odd functions** If an odd function  $g(x)$  has a local minimum value at  $x = c$ , can anything be said about the value of  $g$  at  $x = -c$ ? Give reasons for your answer.
68. We know how to find the extreme values of a continuous function  $f(x)$  by investigating its values at critical points and endpoints. But what if there *are* no critical points or endpoints? What happens then? Do such functions really exist? Give reasons for your answers.
69. **Cubic functions** Consider the cubic function

$$f(x) = ax^3 + bx^2 + cx + d.$$

- Show that  $f$  can have 0, 1, or 2 critical points. Give examples and graphs to support your argument.
- How many local extreme values can  $f$  have?

**T 70. Functions with no extreme values at endpoints**

- a. Graph the function

$$f(x) = \begin{cases} \sin \frac{1}{x}, & x > 0 \\ 0, & x = 0. \end{cases}$$

Explain why  $f(0) = 0$  is not a local extreme value of  $f$ .

- b. Construct a function of your own that fails to have an extreme value at a domain endpoint.

**T** Graph the functions in Exercises 71–74. Then find the extreme values of the function on the interval and say where they occur.

71.  $f(x) = |x - 2| + |x + 3|$ ,  $-5 \leq x \leq 5$

72.  $g(x) = |x - 1| - |x - 5|$ ,  $-2 \leq x \leq 7$

73.  $h(x) = |x + 2| - |x - 3|$ ,  $-\infty < x < \infty$

74.  $k(x) = |x + 1| + |x - 3|$ ,  $-\infty < x < \infty$

**COMPUTER EXPLORATIONS**

In Exercises 75–80, you will use a CAS to help find the absolute extrema of the given function over the specified closed interval. Perform the following steps.

- Plot the function over the interval to see its general behavior there.
- Find the interior points where  $f' = 0$ . (In some exercises, you may have to use the numerical equation solver to approximate a solution.) You may want to plot  $f'$  as well.
- Find the interior points where  $f'$  does not exist.
- Evaluate the function at all points found in parts (b) and (c) and at the endpoints of the interval.
- Find the function's absolute extreme values on the interval and identify where they occur.

75.  $f(x) = x^4 - 8x^2 + 4x + 2$ ,  $[-20/25, 64/25]$

76.  $f(x) = -x^4 + 4x^3 - 4x + 1$ ,  $[-3/4, 3]$

77.  $f(x) = x^{2/3}(3 - x)$ ,  $[-2, 2]$

78.  $f(x) = 2 + 2x - 3x^{2/3}$ ,  $[-1, 10/3]$

79.  $f(x) = \sqrt{x} + \cos x$ ,  $[0, 2\pi]$

80.  $f(x) = x^{3/4} - \sin x + \frac{1}{2}$ ,  $[0, 2\pi]$